

Your Duty =

Assessment year: 2022

Act = Small Holdings & Allotments Act 1908 s 23, 26 and 42, Allotments Act 1950 s 9

Scoring note:
Low = 1
Medium = 2
and High = 3

Risk / ID	Hazard / Requirement	Control	Review timing & Responsibility	Likelihood of occurrence	Impact on Council	Your action Score required
Administration/Legal						
	Absence of a completed agreement with every allotment holder.		Annually	Low	Low	1
			Responsibility: Head of Grounds & Environmental Service			
310	To have a completed agreement on file for every allotment holder.	Tenancy agreement is completed and signed by all parties prior to occupation. Allotment register maintained. Tenancy Agreements and the rules are reviewed periodically to ensure adequacy of conditions.				
Administration/Legal						
	Maintenance of Allotment Register.		Annually	Low	Low	1
			Responsibility: Head of Grounds & Environmental Service			
309	To maintain an up to date register of allotment holders.	Responsibility to maintain a proper register ensuring all amendments are promptly recorded. Define responsibility of allotment society where appropriate. Allotments Association is kept up to date with changes if relevant.				

Administration/Legal

Poor Grass Cutting.

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

50 To maintain required standards at allotment sites. Undertaken by LLTC staff to pre-defined standards.

Administration/Legal

Provision of adequate insurance cover.

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

312 To ensure that the council is fully protected against mandatory and other risks. Carry out annual review of insurance cover to ensure that all appropriate risks are fully protected.
Carry out annual inspection of insurance held by third parties.

Environmental

Accumulation of rubbish.

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

301 To maintain acceptable standards for site.

Responsibility for site maintenance is clearly defined.
All allotment sites are periodically inspected.
The terms and conditions of tenancy agreements are enforced.
Maintain liaison with Allotments Association.
Skip provided once per year, per site.

Environmental

Build up of non-compostable rubbish

Quarterly

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

53 To maintain high standard of cleanliness and minimize risk.

The terms and conditions of the tenancy agreements are enforced.
Skip provided once per year, per site.

Environmental

Dumping/Hazardous substances

Quarterly

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

- 302 To maintain acceptable standards and minimize danger arising from hazard..
- Site responsibilities are clearly defined.
The terms and conditions of allotment tenancy agreements are enforced.
All allotment sites are inspected periodically.
Skip provided once a year for removal of waste.

Environmental

Loss / Damage to water supply.

As and when

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

- 49 To maintain adequate water supply and minimise loss /damage arising there from.
- Define responsibility for maintenance of water supply.
Ensure that system is in place to report and rectify all faults.
Maintain such arrangements as necessary with local contractor.

Environmental

Untidy Plots.

Quarterly

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

- 52 To ensure that site is maintained to the required/acceptable standard.
- Define responsibility.
Ensure site visits are carried out periodically.
Ensure that the rules, terms and conditions of tenancy agreements are enforced.
Notify allotment holder of problem and serve notice where necessary.
Liaise where appropriate with Allotment Association.

Environmental

Vandalism of sites.

Quarterly

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

- 48 To minimise the risk of loss/damage/injury arising from vandalism.
- Regular monitoring of sites with, where appropriate, the assistance of allotment society or relevant body.
Consider physical improvements to sites.
There is liaison with local policing teams in the areas affected when necessary.

Environmental

Vermin.

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

304 To control and minimise impact.

Define responsibility for standards of hygiene/cleanliness etc. of site.
Rules, terms and conditions of tenancy agreements are enforced.
All allotment sites are inspected periodically.
Instigate appropriate action to deal with any identified problems.

Financial

Failure to collect rents & charges.

Quarterly

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

308 To ensure that all income due to the council is properly collected and banked.

Define responsibility for collection of income due.
Proper records of income received and banked are maintained. Periodical reconciliation to allotment register should be carried out.
Terms and conditions of allotment tenancy agreements are enforced.
Allotment Register is accurately maintained.
Follow defined procedures for outstanding debts.

Financial

Failure to review rents & charges.

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

- 306 To ensure that rent income is subject to regular review.
w.
- Review allotment rents and charges annually as an integral part of the annual budget process.
Assess cost of invoicing compared to income generated.

Physical

Maintenance of Council owned equipment.

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

- 298 To ensure that all Council owned equipment is properly maintained.
- Ensure that equipment is properly maintained through regular inspection/ servicing.
Ensure that proper maintenance records are complete and up to date.
Ensure that responsibility is defined and any training requirement is complete.

Physical

Personal injury.

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

300 To minimise risk.

Ensure that any conditions that might lead to personal injury are minimised and properly controlled.
Carry out periodical examination of allotment environment.

Physical

Public Injury as a result of contractor.

As and when

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

51 To minimise risk to allotment holders and others when contractor on site.

Ensure that contract requires provision of appropriate insurance cover.
Inspect contractors insurance documentation to confirm compliance.

Physical
Security.

Annually Low Low 1

Responsibility: Head of Grounds & Environmental Service

299 To ensure security of site and equipment.

Ensure that responsibility of allotment holders is clearly defined in tenancy agreement.
Ensure that proper facilities are in place to safeguard council assets.

Physical

Unoccupied Plots.

Monthly Low Low 1

Responsibility: Head of Grounds & Environmental Service

47 To control and minimise empty allotments.

An allotment waiting list is maintained.
Details on website and noticeboards.
Allotments promoted in community newsletter periodically.

Completed by:

Date:

Position:

No of Risks 18

No of risks scored: 18

No of Action Plans: 0

Your Duty = Powers to provide

Assessment year: 2022

Act =

Scoring note:
 Low = 1
 Medium = 2
 and High = 3

Risk / ID	Hazard / Requirement	Control	Review timing & Responsibility	Likelihood of occurrence	Impact on Council	Your action Score required
Administration/Legal Absence of Licences.			Annually	Low	Low	1
Responsibility: Head of Grounds & Environmental Service						
211	To meet all statutory requirements and maintain effective administration.	Determine responsibility for obtaining licences. Maintain adequate records of licence application, renewal etc.				

Administration/Legal Health & Safety.			Annually	Low	Low	1
Responsibility: Head of Grounds & Environmental Service						
208	To maintain a high standard of Health & Safety and meet all statutory requirements.	Ensure Health/Safety testing complete.				

Environmental
Pandemic

Annually Medium Low 2

Responsibility: Head of Grounds & Environmental Service

1147

Covid guidelines monitored on an ongoing basis and updated guidance issued to hirers as necessary.
Cleaning materials including hand sanitiser on sites.

Physical
Security.

As and when Low Low 1

Responsibility: Head of Grounds & Environmental Service

210 To ensure that effective security arrangements are in place.

Define policy for security of staff, premises and equipment.
Allocate responsibility for security/control.

Completed by:

Date:

Position:

No of Risks 4

No of risks scored: 4

No of Action Plans: 0

Your Duty = Power to provide and maintain shelters

Assessment year: 2022

Act = Local Government (Miscellaneous Provisions) Act 1953 s.4

Scoring note:
Low = 1
Medium = 2
and High = 3

Risk / ID Hazard / Requirement	Control	Review timing & Responsibility	Likelihood of occurrence	Impact on Council	Your action Score required
Administration/Legal					
Provision of adequate insurance cover.					
267	To ensure that the Council has adequate insurance cover.	Ensure that all insurance cover is reviewed annually. Ensure that appropriate action is taken to provide cover for any new risks arising in the year.	Annually	Low	Low 1
Responsibility: Finance officer					
Environmental					
Cleaning of Bus Shelters.					
264	To maintain high standards of health, safety and cleanliness.	Define responsibility and standards for regular cleaning . Carry out periodic physical inspection and take action where appropriate to ensure that set standards are maintained. Graffiti removed as and when required.	As and when	Low	Low 1
Responsibility: Head of Grounds & Environmental Service					

Environmental

Vandalism.

Weekly

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

265 To minimise the risk of loss/damage/injury arising from vandalism.

Arrange periodic monitoring of sites.
Liaise with local enforcement agencies.

Physical

Maintenance of Bus Shelters.

Quarterly

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

262 To ensure that Bus Shelters are maintained to the appropriate standards.

Maintain proper records of work carried out.
Carry out periodical physical inspection.

Completed by:

Date:

Position:

No of Risks 4

No of risks scored: 4

No of Action Plans: 0

**Your Duty = Power to make bye-laws in regard to pleasure grounds
Cycle parks**

Assessment year: 2022

Act = Public Health Act 1875, s. 164
Road Traffic Regulation Act 1984, s.57(7)

Scoring note:
Low = 1
Medium = 2
and High = 3

Risk / ID	Hazard	Requirement	Control	Review timing & Responsibility	Likelihood of occurrence	Impact on Council	Your action Score required
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Physical

Failure to maintain register.

Annually **High** **Medium** **6** **Yes**

Responsibility: Head of Grounds & Environmental Service

Action by: Head of Grounds & Environmental Services

Action by date: 31/03/2022

Action plan: Update required to include additional land To be re-issued to Secretary of State following committee approval

255 Need to maintain a comprehensive register of all bye-laws. Ensure that the register is up to date and properly maintained. Consider any new areas of land not covered by existing Bye Laws.

Comments: Current bye laws do not cover all Town Council owned land.

Completed by:

Date:

Position:

No of Risks 1

No of risks scored: 1

No of Action Plans: 1

Your Duty = Powers to provide

Assessment year: 2022

Act = s 8 LGA 1894; Open Spaces Act 1906; s 19LG (Misc Provns) Act 1976

Scoring note:
Low = 1
Medium = 2
and High = 3

Risk / ID Hazard / Requirement	Control	Review timing & Responsibility	Likelihood of occurrence	Impact on Council	Your action Score required
Environmental					
Cleaning and litter control.					
174	To maintain desired standard of cleanliness and minimise health risk.	Define standards required. Provide litter bins as deemed appropriate Define responsibility for cleaning and ensure any training complete. Ensure any hazardous litter properly dealt with. Provide for regular physical site inspections to ensure standard is maintained.	Monthly	Low	Low 1
Responsibility: Head of Grounds & Environmental Service					
Environmental					
Fly tipping.					
175	To minimise the impact of fly tipping and associated health/safety risk.	Arrange regular site inspections. Arrange for safe disposal facility. Ensure any hazardous substances are properly dealt with. Maintain liaison with local enforcement agencies. Enforce regulations/bye-laws as appropriate.	Annually	Low	Low 1
Responsibility: Head of Grounds & Environmental Service					

Financial

Inadequate insurance cover.

Annually

Low

Low

1

Responsibility: Finance officer

181 To minimise risk.

Carry out annual review of insurance cover and ensure that all risks have been taken into account.

Physical

Maintenance of Car Park Surfaces.

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

169 To ensure that car park surfaces are maintained to the desired standard.

Define responsibility for and carry out periodic physical inspection, maintain records.
Make arrangements for any required work to be carried out.

Physical

Personal injury.

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

168

To minimise risk of injury to persons using council facility.

Arrange regular physical inspection of car parks.
Ensure that appropriate insurance cover is held and reviewed annually.
Ensure that all staff have appropriate training and adhere to approved working practices.
Ensure that the correct practices are in place.

Physical

Security.

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

170

To maintain a high standard of security.

Define responsibility for security of car parks.
Maintain liaison with local enforcement agencies.
Ensure that all disclaimer notices etc. are in place.

Physical

Vandalism.

Quarterly

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

172 To minimise the risk of loss/damage/injury arising from vandalism. Arrange regular monitoring of sites.
To minimise the impact on service provision. Maintain liaison with local enforcement agencies.
Instigate appropriate action against offenders.

Completed by:

Date:

Position:

No of Risks 7

No of risks scored: 7

No of Action Plans: 0

Assessment year: 2022

**Your Duty = Power to provide
Power to acquire and maintain**

Act = Local Government Act 1972, s 214(2)
Parish Councils and Burial Authorities (Miscellaneous Provision) Act 1953, s 1

Scoring note:
Low = 1
Medium = 2
and High = 3

Risk / ID	Hazard / Requirement	Control	Review timing & Responsibility	Likelihood of occurrence	Impact on Council	Your action Score required
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Administration/Legal

Loss of burial records through theft or natural disaster.

As and when **Low** **Medium** **2**

Responsibility: Officers

776	Minimise loss of burial records through theft or natural disaster.	All records are held in a fire proof safe. Electronic records are held on a cloud based system and regularly backed up.				
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Environmental

Dog fouling.

Quarterly **Low** **Low** **1**

Responsibility: Head of Grounds & Environmental Service

293	To minimize the impact of dog fouling.	Cemetery rules exclude dogs but this is unenforceable as dog control orders do not cover cemeteries.				
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Environmental

Maintenance of Cemetery including grass cutting.

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

291 To ensure that the assets of the Council are properly maintained.

Define responsibility and standards for cemetery maintenance and ensure that a planned programme is in place.

Maintain adequate records of inspection to ensure that maintenance records are kept up to date and ready for inspection.

Environmental

Pandemic

Annually

Medium

Low

2

Responsibility: Head of Grounds & Environmental Service

1148 Legal restrictions regarding funerals as a result of Covid-19

Covid guidance monitored and actioned as necessary.

Environmental

Vandalism.

Monthly

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

- 292 To minimise the risk of loss/damage/injury arising from vandalism. Maintain efficient and effective security.
 Maintain liaison with local enforcement agencies.

Financial

Failure to bank income.

Annually

Low

Low

1

Responsibility: Finance officer

- 296 To minimise risk of loss. Provide for regular statement of income to Council/committee.
 Provide for internal audit testing of income banked against underlying records.

Financial

Failure to collect charges.

Annually

Low

Low

1

Responsibility: Finance officer

295 To maximise the collection of income.

Prepare debtor accounts promptly.
Ensure that all income due to the Council and received is properly recorded.
Issue receipts for all income received.
Ensure procedures are in place for issue of reminders for unpaid accounts. Follow defined procedure for reminders.
Ensure appropriate internal audit testing procedures are in place.
Ensure Council approval required for write-off of any bad debts.

Financial

Failure to review charges.

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

294 Annual review of charges.

Ensure that all charges are reviewed annually as an integral part of the budget setting process.

Physical

Control of hazardous substances including certification for use etc.

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

- 285 To properly control use/security of hazardous substances.
 - Define responsibility for use and control.
 - Provide for any necessary training.
 - Provide for appropriate clothing.
 - Ensure that any necessary licences, certificates for use have been obtained.
 - Ensure that security is sound.
 - Ensure that any disposals are made within the required guidelines. Ensure that hazardous substances are securely stored in a locked cabinet.

Physical

Control of hazardous substances.

Monthly

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

- 273 To minimise risk of loss/damage/injury arising from use of hazardous substances.
 - Define responsibility for use and control.
 - Provide for any necessary training.
 - Provide for appropriate clothing.
 - Ensure that any necessary licences, certificates for use have been obtained.
 - Ensure that security is sound.
 - Ensure that any disposals are made according to the legal requirement.

Physical

Failure of Water Supply.

As and when

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

290 To provide an adequate supply of water.

Ensure that all damage to water supply is promptly reported and dealt with.
Ensure that water bills are paid or disputes resolved before loss of supply becomes an issue.

Physical

Fire.

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

288 To minimise the risk of damage/injury arising from fire

Ensure Health/Safety testing complete.
Ensure appropriate staff training
Provide for strict security/control of combustible materials held by Council.
Provide appropriate extinguishers etc.
Bar burning of rubbish/cemetery waste.

Physical

Headstones/kerbstones safety survey.

Quarterly

High

Medium

6

Yes

Responsibility: Head of Grounds & Environmental Service

Action by: Head of Grounds & Environmental Services

Action by date: 31/03/2022

Action plan: Safety survey to be completed by end of municipal year 2021/22 and actions identified.

287 To minimise risk of injury.

Ensure that a comprehensive survey is completed.
 Arrange for completion of any necessary work.
 Ensure that facility users are aware of danger.
 Arrange for regular inspections to ensure that standards are maintained.
 Maintain appropriate records.
 Ensure adequate insurance cover is in place.
 Topple testing needs to be done by trained personnel.

Physical

Maintenance of buildings.

Annually

Medium

Low

2

Responsibility: Head of Grounds & Environmental Service

280 To ensure that council property is properly maintained and minimise loss/damage/injury.

Last condition surveys of chapel and mortuary highlighted essential work required. Project included in council's current 5 year financial plan.

Physical

Maintenance of equipment.

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

282 To ensure that all equipment is properly maintained. Define responsibility for equipment maintenance and ensure any necessary training is complete
 Ensure that all equipment is properly maintained through regular inspection / servicing.
 Ensure that proper maintenance records are complete and up to date.

Physical

Personal injury.

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

286 To minimise the risk of personal injury to persons using council facilities. Ensure that all staff have appropriate training and adhere to approved working practices.
 Ensure that the correct, properly maintained tools are available as appropriate.

 Ensure that all appropriate disclaimer notices, warning signs etc. are in place.
 Maintain records of training.
 Maintain records of injuries.
 Ensure adequate insurance cover held.
 Define responsibility in job descriptions.

Physical

Security of cemeteries and buildings.

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

279 To ensure that proper security arrangements are in place.

Responsibility for security defined.
Staff employed or contract with service provider in place.
Appropriate staff training complete.
Detailed schedules/records maintained.
Liaison with local enforcement agencies maintained.

Physical

Security/control of equipment.

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

281 To ensure proper control of council assets.

Define policy and provide for security of premises and equipment
Allocate responsibility for security/control.
Maintain asset register.

Physical
Theft.

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

289 To minimize the risk of loss through theft.

Define responsibility for security.
Ensure that security of all plant, equipment and premises is recognised as a priority.
Maintain register of assets.
Maintain liaison with local enforcement agencies.

Completed by:

Date:

Position:

No of Risks **19**

No of risks scored: **19**

No of Action Plans: **1**

Your Duty = Power to provide public clocks

Assessment year: 2022

Act = Parish Councils Act 1957, s.2

Scoring note:
 Low = 1
 Medium = 2
 and High = 3

<i>Risk /</i> ID	<i>Hazard / Requirement</i>	<i>Control</i>	<i>Review timing & Responsibility</i>	<i>Likelihood of occurrence</i>	<i>Impact on Council</i>	<i>Your action Score required</i>
Administration/Legal						
	Provision of adequate insurance cover.		Annually	Low	Low	1
<i>Responsibility: Finance officer</i>						
254	To ensure that all risks are adequately covered.	Ensure that all risks are reviewed annually. Ensure that appropriate insurance cover is in place.				
<hr/>						
Physical						
	Maintenance of Clock.		Annually	Low	Low	1
<i>Responsibility: Other</i>						
251	To ensure efficient and accurate timekeeping.	Maintenance of St Andrews clock is responsibility of Trustees				

Physical

Security of Clock.

Annually

Low

Low

1

Responsibility: Other

250 To ensure security of council assets.

Define responsibility for security.
Ensure sound arrangements in place.

Completed by:

Date:

Position:

No of Risks 3

No of risks scored: 3

No of Action Plans: 0

Your Duty = Duty to adopt a code of conduct

Assessment year: 2022

Act = p 3 Local Government Act 2000

Scoring note:
 Low = 1
 Medium = 2
 and High = 3

<i>Risk /</i> ID	<i>Hazard</i> / Requirement	Control	<i>Review</i> timing & <i>Responsibility</i>	<i>Likelihood</i> of <i>occurrence</i>	<i>Impact</i> on <i>Council</i>	<i>Your</i> action <i>Score required</i>
Administration/Legal						
	Failure to maintain / update Register of Interests/Gifts		Annually	Low	Low	1
<i>Responsibility: Councillors</i>						
30	To maintain records of members Declarations of Interest	All Council members are aware of their statutory responsibilities in respect of interests and declarations of gifts and hospitality. Register of Members' Interests maintained. Chairman asks for interests to be declared at each meeting. Register of gifts and hospitality book maintained.				

Completed by:

Date:

Position:

No of Risks 1

No of risks scored: 1

No of Action Plans: 0

Your Duty = Power to facilitate discharge of any function

Assessment year: 2022

Act = s 111 Local Government Act 1972

Scoring note:
 Low = 1
 Medium = 2
 and High = 3

Risk / ID Hazard / Requirement	Control	Review timing & Responsibility	Likelihood of occurrence	Impact on Council	Your action Score required
Physical					
Loss arising from theft/misappropriation.					
325	Maintain adequate security of site and equipment.	Access to Council offices only available when Council staff are present. The building is alarmed. All computers are password protected. High security of site and equipment is maintained at all times.	Annually	Low	Low 1
<i>Responsibility: Head of Democratic & Central Services</i>					
Physical					
Loss/damage arising from unauthorised use.					
318	Maintain security of computer.	Access restricted through use of controlled passwords. Passwords are changed periodically. Maintain physical security of computer and site.	Annually	Low	Medium 2
<i>Responsibility: Head of Democratic & Central Services</i>					

Physical

Use of social media - reputational damage, libel

Quarterly

Low

Medium

2

Responsibility: Head of Democratic & Central Services

1140 To minimise risk arising from use of social media

Ensure the council has a social media policy which employees are aware of - updated 2021
Ensure social media communications are checked for accuracy and content
Ensure social media communications are monitored regularly and responses made where appropriate to do so
Consider provision of training to councillors and if applicable, contractors
Limit password access to social media accounts to only where essential
Change passwords periodically and when key staff leave employment

Technical

Crash of IT System.

Quarterly

Low

Medium

2

Responsibility: Head of Democratic & Central Services

27 To minimise risk arising from breakdown of equipment.

Council computer systems are backed up daily, including remote sites.
Council ensures equipment is properly maintained. Support contract includes regular software updates.
Access is restricted to authorised users.
Only approved software is used.
Council operate up to date anti-virus software.
Most software is now cloud based so could be accessed from any site.

Comments: Local backup of data held on server; some data cloud based.

Completed by:

Date:

Position:

No of Risks 4

No of risks scored: 4

No of Action Plans: 0

Your Duty = Power to meet

Assessment year: 2022

Act = Local Government Act 1972

Scoring note:
Low = 1
Medium = 2
and High = 3

Risk / ID	Hazard / Requirement	Control	Review timing & Responsibility	Likelihood of occurrence	Impact on Council	Your action Score required
Administration/Legal						
	Access.		Annually	Low	Low	1
Responsibility: Head of Democratic & Central Services						
218	To meet all statutory requirements and maintain effective administration.	<p>Ensure public access is available to all meetings of the Council. Members of the press and public may be asked to leave a meeting during the discussion of exempt items such as staffing matters, quotations or tenders submitted by contractors.</p> <p>Disability access is be provided where possible; new Accessible Meetings Policy adopted.</p> <p>Specific area is set aside for press & public.</p> <p>Time is allocated for public questions and comments (15 minutes total).</p>				
Administration/Legal						
	Failure to meet statutory duty.			Low	Low	1
Responsibility: Head of Democratic & Central Services						
217	To meet all statutory requirements and maintain effective administration.	<p>All meetings comply with LGA 1972. Clerk and Deputy Clerk are CiLCA qualified. Council randomly checks its own internal controls as well as Internal Auditor checks. All members are notified of meeting by way of summons and agenda in accordance with LGA 1972. All public notices are posted as prescribed in LGA 1972. Clerk/Deputy Clerk ensures meetings are quorate and attendance records are maintained.</p> <p>Minutes are taken of all Council and Committee meetings and signed at the next meeting by the Chairman.</p> <p>All minutes are produced in accordance with legislation and kept in files in the Council office, as well as being published on the website.</p> <p>Historic minutes are kept with Bedfordshire Archives.</p>				

Administration/Legal

Pandemic

Annually

Medium

Low

2

Responsibility: Head of Democratic & Central Services

- 1165 Need to ensure Council business can be transacted. Standing Orders revised 2021 to include a procedure for delegated authority to the town clerk with email approval from Members, to ensure decisions can be made even if a meeting cannot take place (albeit certain decisions cannot be delegated so remain a risk).
Legislative changes still awaited in respect of remote/virtual meetings.

Physical

Personal Injury.

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

- 319 Ensure that effective arrangements are in place to minimise risk. Appropriate regulations/controls are in place to minimise the risk of injury to officers, members and public.
Defined standards are maintained.
Where necessary, appropriate notices are in place.
Council has appropriate insurance cover which is reviewed on an annual basis as a minimum.

Physical
Security.

Annually

Low

Low

1

Responsibility: Head of Democratic & Central Services

219 To ensure that effective security arrangements are in place. Council officers are aware of responsibility for security/control and implementation in order to protect themselves and others.

Completed by:

Date:

Position:

No of Risks 5

No of risks scored: 5

No of Action Plans: 0

Your Duty = Duty to disclose documents and to adopt publication scheme

Assessment year: 2022

Act = Freedom of Information Act 2000

Scoring note:
Low = 1
Medium = 2
and High = 3

Risk / ID	Hazard / Requirement	Control	Review timing & Responsibility	Likelihood of occurrence	Impact on Council	Your action Score required
Administration/Legal						
Pandemic						
1151	Ensure Council approved risk assessments for Covid-19 are in place.	Council agreed delegation now to responsible Health & Safety Officer.	Annually	Low	Medium	2
Responsibility: Head of Grounds & Environmental Service						

Financial						
Legal Liability as a result of Asset Ownership.						
44	Provision of adequate public liability insurance	Detailed asset register is maintained and reviewed annually. Adequate public liability insurance is in place. Separate legal liability and asset risk assessments are maintained by the Finance Officer.	Annually	Low	Low	1
Responsibility: Finance officer						

Physical

Loss / Damage to Civic Regalia.

Monthly

Low

Low

1

Responsibility: Head of Democratic & Central Services

45 To safeguard council assets.

Register of Assets maintained and updated annually and as and when required

- Council has adequate insurance against damage and theft.
- Proper security/storage is in place.
- Users are aware of their responsibility when regalia is in their care.
- Civic Regalia is suitably maintained and cleaned.
- Civic Regalia is collected and returned under secure conditions.

Physical

Loss of assets.

Annually

Low

Low

1

Responsibility: Finance officer

43 To minimise the risk of loss through theft/misappropriation of assets.

- Effective security of all assets maintained.
- Asset Register maintained and reviewed annually as a minimum.
- Ensure that adequate and appropriate insurance cover is held.

Professional

Failure to effectively process documents.

Daily

Low

Medium

2

Responsibility: Officers

46

To ensure effective processing and safe keeping of all documentation received by the Council

Deeds and leases stored in fire proof safe in Council office. Paper waste is disposed of securely. All documents kept on computer are backed up daily. Restricted access to Council Offices unless with a Council Officer. Copies of all incoming and outgoing correspondence are held electronically or paper copy. Financial information held electronically as well as paper copy. Data held only as required in accordance with retention policy and data protection regulations.

Completed by:

Date:

Position:

No of Risks 5

No of risks scored: 5

No of Action Plans: 0

Your Duty = Powers to spend money on crime detection and prevention measures.

Assessment year: 2022

Act = Local Government and Rating Act 1997 s 31; Crime & Disorder Act 1998

Scoring note:

Low = 1
Medium = 2
and High = 3

Review
timing &
Responsibility

Likelihood
of
occurrence

Impact
on
Council

Your
action
Score
required

Risk / ID	Hazard / Requirement	Control	Review timing & Responsibility	Likelihood of occurrence	Impact on Council	Your action Score required
Administration/Legal						
Data Protection - Registration & compliance.						
336	To ensure statutory requirements are met.	Arrange for any necessary Data Protection registration and compliance. Check if any necessary registration needs to be arranged. Ensure that personnel are aware of compliance requirements. Arrange necessary training.	Annually	Low	Low	1
Responsibility: Head of Democratic & Central Services						
Administration/Legal						
Failure to comply with Code of Practice.						
335	To meet requirements of code.	LLTC camera locations and usage reviewed with Beds Police and CBC in 2019 to ensure Code requirements met. New signage installed in 2019.	Annually	Low	Low	1
Responsibility: Clerk						
Comments: Local authority responsibility.						

Financial

Inadequate budget provision.

Annually

Low

Low

1

Responsibility: Clerk

329 Ensure annual service review.

Annual budget provision made.
 Review will be required once CBC moves to new control room and upgrades equipment to digital cameras and systems.

Physical

Failure of system - equipment.

Annually

Low

Low

1

Responsibility: Other

332 To minimise risk.

CBC monitor, maintain and repair all CCTV cameras.

Comments: Cameras owned by LLTC will be tested and maintained by the local authority.

Physical

Security of equipment.

Quarterly

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

333 To minimise the risk of loss/damage.

LLTC CCTV is mainly based in council owned parks/sites.
Two redeployable cameras may be on sites owned by others.
Maintain asset register.

Physical

Vandalism.

Monthly

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

330 To minimise risk arising from vandalism/antisocial behaviour.

Maintain efficient and effective security.
Maintain liaison with local enforcement agencies.
Take action as appropriate against offenders.

Completed by:

Date:

Position:

No of Risks 6

No of risks scored: 6

No of Action Plans: 0

Your Duty = Duty of Notification and Duty to Disclose (subject access)

Assessment year: 2022

Act = Data Protection Act 1998

Scoring note:

Low = 1
Medium = 2
and High = 3

Review timing & Responsibility

Likelihood of occurrence

Impact on Council

Your action Score required

Risk / ID	Hazard / Requirement	Control	Review timing & Responsibility	Likelihood of occurrence	Impact on Council	Your action Score required
Administration/Legal						
Breach of confidentiality.						
29	To ensure that statutory requirements are met.	<p>That the Council is registered as a Data Controller with the Information Commissioners Office.</p> <p>That the Council has a Data Protection Policy.</p> <p>All Council Officers undertake training when they commence employment and and at least every 3 years afterwards.</p> <p>Data Protection Act updated 2018 to include GDPR</p> <p>All Councillors are aware of their obligation under Data Protection and undergo training post election.</p> <p>Policies adopted 2018 including data breach procedure.</p> <p>Information audit undertaken 2018 along with creation of privacy notices, imp act assessments, consents added to forms, staff training, IT security reviewed.</p>	Quarterly	Low	Low	1
Responsibility: Head of Democratic & Central Services						

Completed by:

Date:

Position:

No of Risks 1

No of risks scored: 1

No of Action Plans: 0

Your Duty = Duty to Appoint

Assessment year: 2022

Act = s 112 Local Government Act 1972

Scoring note:
Low = 1
Medium = 2
and High = 3

Risk / ID	Hazard / Requirement	Control	Review timing & Responsibility	Likelihood of occurrence	Impact on Council	Your action Score required
Administration/Legal						
	Failure to comply with Employment Law.		Annually	Low	Low	1
			Responsibility: Clerk			
23	To ensure that the council fulfils its responsibilities.	<p>Contracts of employment issued to all employees. Staff Contracts of Employment reviewed when required. Clerk has responsibility for maintaining up to date knowledge of new legislation and bringing this to the attention to all relevant parties (to be advised by Council's retained HR support provider)</p> <p>Training arranged as and when required including Clerk's CPD (requirement to meet criteria for General Power of Competence)</p>				
			Comments: HR support through Luton Borough Council until 1/4/2024			
Financial						
	Overpayment or underpayment of salaries and expenses.		Monthly	Low	Low	1
			Responsibility: Finance officer			
785	Ensure that all payments to staff are in accordance with employment contracts approved by the council.	<p>Monthly payroll schedule to be verified by the relevant number of parties/staff. Monthly employers payments to be verified by the relevant third party/ies as laid out in policy. Cheques and electronic payments to be signed/verified by the relevant third party/ies as laid out in policy.</p>				

Physical

Health & Safety

Quarterly

Responsibility: Head of Grounds & Environmental Service

1139 To ensure risk to employees is minimised

Ensure town council sites and activities are regularly risk assessed
Ensure any potential hazards are clearly marked
Ensure repairs and maintenance are undertaken
Additional risk assessments to be undertaken during pregnancy by line managers.
All staff to undertake relevant training annually or every three years (H&S, CO SHH, manual handling, ladder safety, workstation assessments etc)
Ensure a lone working procedure is in place and is followed

Physical

Pandemic

Annually High High 9 Yes

1152 To ensure duty of care towards staff is met

Council approved risk assessment for Covid-19 in place.
All home working employees requested to complete DSE assessments and equipment provided where possible.
Higher risk employees identified and appropriate preventative measures taken to safeguard them.

Responsibility: Officers
Action by: Officers
Action by date: 31/03/2023
Action plan: All heads of service and line managers to regularly review working arrangements for their staff. Pandemic impact may be ongoing in 2022-23.

*Comments: Council approved risk assessment for Covid-19 in place.
EAP helpline/web portal available to all staff.*

Professional

Attacks on Personnel.

Monthly

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

22 To protect staff.

Ensure that an effective security system is in operation.
Ensure appropriate insurance cover held.
Ensure other workers in building are aware of staff working alone.
Ensure staff have telephone access at all times during their work.
Advise staff to take all relevant safe guides and precautions.
Appropriate insurance is maintained.

Professional

Inability to recruit.

As and when

Low

Low

1

Responsibility: Clerk

17 To improve recruitment.

Recruitment policy adopted 2021.

Professional

Inability to retain staff.

Annually

Low

Low

1

Responsibility: Officers

18

To minimise risk arising from high turnover of staff.

Staff Appraisals conducted on an annual basis and when required.
Complete exit questionnaire.

Professional

Lack of Employee motivation/efficiency.

Annually

Low

Low

1

Responsibility: Officers

21

To meet commitment of council employment policy.

Each employee has job description.
Staff appraisals carried out annually supported by one to ones when required.
Appropriate staff records maintained.
Training provided as and when requested or identified.

Professional

Lack of Training.

Annually

Low

Low

1

Responsibility: Officers

20 To meet Council commitment to staff training.

Training provided as and when identified/requested, including CPD.
Training requirements reviewed as part of the annual appraisal system.
Advantage taken of any localised training through local associations, SLCC etc
.
Staff encouraged to network with relevant contacts e.g. other Council clerks, N
ALC/SLCC, other relevant sector bodies.
Appropriate training records maintained.

Professional

Loss of key staff.

Quarterly

Medium

Medium

4

Yes

Responsibility: Officers

Action by: Officers

Action by date: 31/03/2023

Action plan: Operational procedures for all service areas to be reviewed and updated - this remains an ongoing priority to protect business continuity.

19 To avoid problems arising from loss of key personnel.

Ensure procedures for key functions documented. Procedural manuals and necessary training are provided to ensure that all key tasks can be carried out in the event of a sudden loss of a key member of staff.

Completed by:

Date:

Position:

No of Risks 10

No of risks scored: 9

No of Action Plans: 2

Your Duty = Provision of entertainment and support of the arts

Assessment year: 2022

Act = Local Government Act 1972, s 145

Scoring note:
Low = 1
Medium = 2
and High = 3

Risk / ID	Hazard / Requirement	Control	Review timing & Responsibility	Likelihood of occurrence	Impact on Council	Your action Score required
Administration/Legal						
Cancellation						
1143	Minimise risk of losses in the event of cancellation	Create cancellation policy if appropriate. Review terms and conditions of agreements with traders, suppliers, performers at events to ensure clarity in the event of a forced cancellation.	Annually	Low	Low	1
Responsibility: Head of Cultural & Economic Services						
Administration/Legal						
Health and safety risk to staff and public at events						
1146	To ensure all possible measures are taken to minimise potential risk to event staff and attendees	Provide event assessed risks information to the Council's retained Health & safety consultant for their advice and act on any recommendations received. Provide event assessed risks information to the Safety Advisory Group (SAG) for their advice and act on any recommendations received. advise committee on significant recommendations and actions taken. Ensure adequate insurance cover is in place. Ensure agreements with traders/suppliers/entertainers are clear regarding their responsibilities and liabilities and reviewed annually. Ensure a named officer is appointed production manager for events (and a deputy if required). Ensure adequate communications mechanisms for the event are in place. Ensure first aid cover is provided where necessary.	Annually	Low	Medium	2
Responsibility: Head of Cultural & Economic Services						

Administration/Legal

Lack of staffing at events

Annually

Low

Medium

2

Responsibility: Head of Cultural & Economic Services

1144 To ensure sufficient staffing to allow events to take place safely

Determine requirements for each event as part of the risk assessment, based on event details and anticipated attendance.

Ensure arrangements for sourcing additional event staff where required.

Ensure contingency arrangements in place where possible, in case of non attendance on the day due to illness etc.

Administration/Legal

Pandemic

Annually

High

Medium

6

Yes

*Responsibility: Head of Cultural & Economic Services**Action by: Officers**Action by date: 31/03/2023*

Action plan: Uncertainty likely to continue into 2022 but we have now demonstrated a number of ways of dealing with the pandemic impact, eg. ticketing to restrict num

1166 To ensure health and safety requirements arising from the Covid-19 pandemic are met.

Risks are: legal, financial, physical, environmental.

All scheduled events to have specific risk assessments.

Comments: Risks are: legal, financial, physical, environmental.

All scheduled events to have specific risk assessments.

Financial

Loss of income

Annually

Low

Low

1

Responsibility: Finance officer

1145 Failure to collect income.

Define responsibility for collection of income.
Ensure that all income due to the Council and received is properly recorded.
Make provision for prompt banking.

Completed by:

Date:

Position:

No of Risks 5

No of risks scored: 5

No of Action Plans: 1

Your Duty = Duty to ensure responsibility for financial affairs

Assessment year: 2022

Act = s 151 LGA 1972

Scoring note:
 Low = 1
 Medium = 2
 and High = 3

Risk / ID Hazard / Requirement	Control	Review timing & Responsibility	Likelihood of occurrence	Impact on Council	Your action Score required
Administration/Legal					
Failure to comply with Customs & Excise regulations.					
40	Efficient financial administration.	Quarterly	Low	Low	1
		<i>Responsibility: Finance officer</i>			
VAT properly administered, with returns being made on a quarterly basis. Refer to guidance from HMRC where necessary. Ensure that all input tax and output tax is properly recorded. Complete and submit VAT claims promptly and on time. All claims reconciled to cashbook.					
<hr/>					
Administration/Legal					
Failure to comply with Inland Revenue regulations.					
39	Efficient financial administration.	Quarterly	Low	Low	1
		<i>Responsibility: Finance officer</i>			
Maintenance of comprehensive records of all calculations of income tax, national insurance deducted from pay (managed by Payroll Functions on behalf of LL TC and checked by both accountant and auditor). Ensure that regular returns to Inland Revenue; prepared by the Finance Officer ; checked by the Clerk Arrange prompt payment of all sums due.					

Administration/Legal

Failure to maintain record of council assets.

Annually

Low

Low

1

Responsibility: Finance officer

327 To minimize the risk of loss associated with failure to maintain adequate records.

Asset register maintained by Finance Officer.
 All acquisitions/disposals are accurately and promptly recorded.
 Periodical inventory checks carried out.
 Asset register reviewed annually as a minimum.

Administration/Legal

Incurring expenditure without proper legal authority.

Annually

Low

Low

1

Responsibility: Officers

338 To ensure all expenditure is intra vires, ie. "within the powers".

Ensure decisions taken in meetings comply with Standing Orders and Financial Regulations and are clearly recorded in the minutes.
 That the Clerk checks to ensure all expenditure is within legal authority.
 That the Council has attained General Power of Competence.

Financial

Failure to ensure proper use of funds under specific powers / S137.

Quarterly

Low

Low

1

<i>Responsibility: Officers</i>

41

To ensure that expenditure is properly authorised and controlled.

Council has attained General Power of Competence.
 Ensure that grant applications are complete and fully supported prior to submission to committee/Council.
 All approvals for expenditure are properly recorded in Council minutes .
 Ensure that no alternative statutory authority is available.

Financial

Failure to keep proper financial records.

Quarterly

Low

Low

1

<i>Responsibility: Finance officer</i>
--

35

Clerk is appointed Responsible Financial Officer and Proper Officer.
 Standing Orders and Financial Regulations are in place and reviewed as a minimum annually.
 Independent internal auditor appointed.
 Annual Return completed for submission to the External Auditor.
 All reports relating to expenditure are received by Committee on a regular basis.
 Year To Date budget sheets are also presented to quarterly meetings of standing committees.

Financial

Failure to maintain an effective payments system.

Monthly

Low

Low

1

Responsibility: Finance officer

326 To minimize the risk of loss.

Determine responsibility for control of expenditure.
 All payments supported by an invoice/voucher.
 All details are checked and payment entered into a cash book or equivalent.
 All payments are approved by Committee and appended to minutes.
 All cheques and BACS payments approved by at least two authorised members and the Town Clerk/Deputy.
 All expenditure is subject to sound budgetary control.

Financial

Failure to set a precept within sound budgeting arrangements.

Monthly

Low

Medium

2

Responsibility: Council

37 To ensure that the budget procedure is both efficient and effective.

Council must set the precept; this cannot be delegated.
 Ensure that presentation to council/committee follows an agreed timetable.
 Precept is set as a result of comprehensive income and expenditure budgeting detailing requirements for forthcoming year.
 All charges made by the Council are reviewed.
 Adequacy of all balances and reserves are reviewed as a minimum annually.
 Effective budget monitoring is in place throughout the year, with year to date budget sheets presented to Committee on a quarterly basis.

Financial

Loss of money through theft/misappropriation.

Quarterly

Low

Medium

2

Responsibility: Finance officer

38

To ensure that effective financial controls are in place. Determine responsibility for cash at all sources.

Receipts are issued for all cash income.

Secure arrangements are in place for all monies held prior to banking.

Proper arrangements are in place for prompt recording and banking of all cash received.

Ensure regular bank reconciliation.

Arrange regular reporting to committee/Council.

Council holds adequate fidelity guarantee insurance.

Financial

Pandemic

Annually

Medium

Low

2

Responsibility: Responsible Finance Officer

1169 To mitigate risks arising from the pandemic.

Council procedures and authorisation of all expenditure can be done electronically by officers and councillors if necessary.

Credit card limit can be temporarily increased due to higher likelihood of transactions, if needed.

Most payments made by bank transfer, not cheque.

Incoming payments to be made electronically.

Most income payments are now electronic rather than cash.

Comments: Limited procedural impact as payments already made, received and approved electronically.

Financial

Poor Financial Management

Annually

Low

Medium

2

Responsibility: Responsible Finance Officer

- 36 To ensure effective management of financial affairs of council. Responsibility for the management of the financial affairs of the Council has been established and is contained within Financial Regulations. Standing Orders and Financial Regulations reviewed as a minimum on an annual basis. Maintain an effective budgetary control/financial reporting system. Council maintains an effective internal control system and internal audit.

Financial

Risk to third party as a consequence of providing a service

Annually

Low

Low

1

Responsibility: Finance officer

- 42 To protect interest of council. Appropriate insurance cover/policy is in force.

Completed by:**Date:****Position:**

No of Risks 12

No of risks scored: 12

No of Action Plans: 0

Your Duty = Duty to comply with the regulations.

Assessment year: 2022

Act = Data Protection Act 2018

Scoring note:
Low = 1
Medium = 2
and High = 3

Risk / ID	Hazard / Requirement	Control	Review timing & Responsibility	Likelihood of occurrence	Impact on Council	Your action Score required
Administration/Legal						
	Failure in complying with special protection for children.		Annually	Low	Medium	2
			Responsibility: Officers			
848	To have procedures and processes in place defining how to deal with special protection of data for children under the age of 13.	There is special protection for the personal data of a child. The age when a child can give their own consent is 13. If the Council requires consent from young people under 13, the Council must obtain a parent or guardian's consent in order to process the personal data lawfully. Consent forms for children aged 13 plus must be written in language that they will understand.				
Administration/Legal						
	Failure to be registered with the ICO.		Annually	Low	Medium	2
			Responsibility: Head of Democratic & Central Services			
850	To be registered with the ICO.	The Data Protection Act 1998 requires every data controller, eg organisation, which is processing personal information to register with the ICO, unless they are exempt.				

Administration/Legal

Failure to display of a Privacy notice.

Annually

Low

Medium

2

Responsibility: Head of Democratic & Central Services

838 To have a fully drafted privacy notice. This to be avail LLTC has adopted a privacy notice based on the NALC Model. able for all relevant parties.

Administration/Legal

Failure to have an up to data procedures up to date.

Annually

Low

Medium

2

Responsibility: Head of Democratic & Central Services

843 To have up to date data procedures and processes i Information held and legal requirements could change so the DPO/Council sho n place for full GDPR compliance. uld have up to date data procedures and processes in place. These should be reviewed and updated regularly which will ensure full GDPR compliance.

Administration/Legal

Failure to have consent form available.

Annually

Low

Low

1

Responsibility: Officers

842

To have a consent form available on-line and in paper form for access by all relevant parties.

You may need to gather a range of different consents to cover the range of data processing communications that the Council carries out. This should be achieved by the aid of a consent form/s. These forms should be kept to ensure you have evidence that consent has been obtained.

Administration/Legal

Failure to have consent of data owners.

Annually

Low

Low

1

Responsibility: Officers

841

To have all relevant consent in place.

You may need to gather a range of different consents to cover the range of data processing communications that the Council carries out. This should be achieved by the aid of a consent form/s. These forms should be kept to ensure you have evidence that consent has been obtained.

Administration/Legal

Failure to have data protection included in the Council's Risk Management Policy.

Annually

Low

Low

1

Responsibility: Officers

- 853 To ensure that the council's risk management policy reflects the requirements of the data protection (GDPR) legislation. RISK MANAGEMENT & INTERNAL CONTROL STATEMENT REFERS TO ALL RISKS OUTLINED IN RISK REGISTER

Administration/Legal

Failure to identify what data is held and processed by the council.

Annually

Medium

Medium

4

Yes

Responsibility: Officers

Action by: Officers

Action by date: 31/03/2023

Action plan: Information audit & external data protection audit delayed due to Covid-19. All service areas to be responsible for reviewing their own areas.

- 831 To have a fully completed audit showing checks taken place and listing all data held electronically and non electronically. It is the Council's duty to undertake an information audit and to manage the information collected by the Council. Information held could change from year to year with different activities.

Administration/Legal

Failure to put procedures in place to fix any data breach.

Annually

Low

Low

1

Responsibility: Head of Democratic & Central Services

847 To have procedures and processes in place defining what to do in case of a data breach, including how to fix this. DATA BREACH PROCEDURES IN PLACE.

Administration/Legal

Inability to correct data errors.

Annually

Low

Low

1

Responsibility: Officers

845 The ability to correct any data errors and record/log these amendments. Also, to have a process in place to inform the user that data has been amended. Procedures in place to process requests by individuals for their personal data to be corrected or erased.

Administration/Legal

Inability to delete data.

Annually

Low

Low

1

Responsibility: Officers

846 The ability to delete a user's data and record/log this action. Also have a process in place to inform the user that data has been removed/deleted.

If a request is received to delete information, then the DPO must respond to this request within a month. The DPO has the delegated authority from the Council to delete information. If a request is considered to be manifestly unfounded then the request could be refused or a charge may apply. If a charge is applied this should be detailed in the Council's web site. The Council should be informed of such requests.

Administration/Legal

Non availability for owner to view their data.

Annually

Low

Low

1

Responsibility: Officers

844 The ability to allow the data owner to view their own data held by the Council. Subject Access Requests procedure in place.

Administration/Legal

Non compliance of Council members and contractors.

Annually

Low

Medium

2

Responsibility: Council

856 That all employees, volunteers, councillors and contractors understand how to comply with GDPR regulations.

GDPR requires that everyone within the Council must understand the implications of GDPR and that roles and duties must be assigned. All employees, volunteers, councillors and contractors are expected to comply with data protection policies at all times to protect privacy, confidentiality and the interests of the Council.

Administration/Legal

Non compliance of Council to manage the process.

Annually

Low

Low

1

Responsibility: Officers

854 That the Council manage the GDPR process.

The Council is the data controller. The Council must undertake an information audit which details the personal data held, where it came from, the purpose for holding that information and with whom the Council will share that information. This will include information held electronically or as a hard copy. Information held could change from year to year with different activities, and so the information audit should be reviewed at least annually.

Administration/Legal

Non display of this policy on the Councils web site.

Annually

Low

Low

1

Responsibility: Head of Democratic & Central Services

851 To have our Privacy notice available on-line for general access/viewing. The Council's privacy notice is on its website and can be linked to from email footers.

Administration/Legal

Non identification of how long the data held.

Annually

Low

Low

1

Responsibility: Council

835 The ability to identify how long the data has been held by the Council and review if holding this data is still required and if not can be deleted. Data should only be held as long as it is deemed necessary in accordance with the council's retention policy.

Completed by:

Date:

Position:

No of Risks 16

No of risks scored: 16

No of Action Plans: 1

Your Duty = Power to accept gifts

Assessment year: 2022

Act = Local Government Act 1972, s 139

Scoring note:
 Low = 1
 Medium = 2
 and High = 3

<i>Risk /</i> ID	<i>Hazard</i> / Requirement	Control	<i>Review</i> timing & <i>Responsibility</i>	<i>Likelihood</i> of <i>occurrence</i>	<i>Impact</i> on <i>Council</i>	<i>Your</i> action <i>Score required</i>
Administration/Legal						
	Failure to notify/record gifts.		Annually	Low	Low	1
<i>Responsibility: Councillors</i>						
314	To protect interest of council and members.	Ensure that all staff/members are aware of responsibilities. Maintain gift register.				

Completed by:

Date:

Position:

No of Risks 1

No of risks 1
scored:

No of Action 0
Plans:

Your Duty = Power to participate in schemes of collective investment

Assessment year: 2022

Act = Trustee Investments Act 1961, s 11

Scoring note:

Low = 1
Medium = 2
and High = 3

<i>Risk / ID</i>	<i>Hazard / Requirement</i>	<i>Control</i>	<i>Review timing & Responsibility</i>	<i>Likelihood of occurrence</i>	<i>Impact on Council</i>	<i>Your action Score required</i>
Administration/Legal						
	Maintenance of Investment Register		Annually	Low	Low	1
167	To maintain proper records.	Determine responsibility for maintenance of investment register. Maintain effective internal audit.	Responsibility: Finance officer			

Financial

Failure to review interest rates etc.

166	To maximize return on investments.	Determine policy and responsibility for investment of Council funds. Carry out regular review to ensure maximum return is achieved. Maintain effective internal audit.	Annually	Low	Medium	2
Responsibility: Responsible Finance Officer						
Action by: Responsible Finance Officer						
Action by date: 28/02/2022						
Action plan: Investment policy due to committee for review annually in February.						
Comments: Review of Council investment policy due annually.						

Financial

Financial Loss i.e. theft

Annually

Low

High

3

Responsibility: Finance officer

164 To minimize risk arising from theft/misappropriation.

Determine policy/responsibility for investment.
 Ensure that investment / transfer / withdrawal of funds are subject to Council approval.
 Ensure that all transactions are subject to counter signatures of clerk/authorised Council members.
 Arrange regular reporting to the Council or relevant committee.

Financial

Inappropriate investment

Annually

Low

Low

1

Responsibility: Finance officer

165 To ensure integrity of investment.

Investment Strategy to be reviewed annually.
 Record details/approval of all investments in Council minutes.
 Ensure regular report to Council.

Completed by:**Date:****Position:**

No of Risks 4

No of risks scored: 4

No of Action Plans: 0

Your Duty = Power to acquire by agreement, to appropriate, to dispose of land
Power to accept gifts of land

Assessment year: 2022

Act = Local Government Act 1972 s 124, 126, and 127, Local Government Act 1972 s 139

Scoring note:
Low = 1
Medium = 2
and High = 3

Risk / ID	Hazard / Requirement	Control	Review timing & Responsibility	Likelihood of occurrence	Impact on Council	Your action Score required
Administration/Legal						
	Lack of clarity on management of land east of the town		Annually	High	High	9 Yes
1153	Clarity and forward planning required to ensure resources in place for management of additional land		Responsibility: Councillors Action by: Councillors Action by date: 31/03/2023 Action plan: To be progressed by Council through ongoing discussion with the local authority Boundary review recommendations awaited in 2022.			
Comments: Clarification needed from local authority to ensure adequate forward planning of resources to manage additional land						
Administration/Legal						
	Maintenance and Security of Deeds of ownership etc.		Annually	Low	Low	1
162	To ensure security of records.	Determine responsibility for security. Ensure that all deeds and relevant documentation held in fireproof cabinet/safe or otherwise deposited with appropriate third party for safe keeping. Maintain a copy of each deed for administrative purposes. Where possible paper and electronic copies are also held.	Responsibility: Head of Grounds & Environmental Service			

Administration/Legal

Maintenance of Asset Register

Annually

Medium

Low

2

Responsibility: Head of Grounds & Environmental Service

- 163 To ensure that all assets of the council are properly recorded.
- Define responsibility for maintenance of an asset register.
Ensure that all purchases/ disposals are accurately and promptly recorded.
All assets of the Council are listed on an Assets Register which is updated on an annual basis and cross referenced with the insurance schedule.
Add assets to Pear Technology mapping system.
Photograph assets for inventory.

Environmental

Failure to comply within consultation deadline.

Annually

Low

Low

1

Responsibility: Head of Democratic & Central Services

- 784 To meet consultation timetable.
- Ensure adequate number of Planning and Transport committee meetings are arranged.
Where necessary liaise with the Planning Authority for possible extension.

Environmental

Fly tipping

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

158 To minimize risks associated with fly tipping.

Define policy/responsibility for site control/security.
Enforce conditions of tenancy agreement.
All Council owned land is inspected on a regular basis.
Members of the public are encouraged to report any issues they identify.
The Council will address any issues as they arise.
Liaise with police/other authority where necessary.
The Council will liaise with the police and/or other authorities where necessary.

Environmental

Maintenance of land including grass cutting

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

156 To ensure that council assets are properly maintained. Land maintenance is undertaken by in-house team.

Environmental

Vandalism

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

- 157 To minimise the risk of loss/damage/injury arising from vandalism.
- Land is inspected on a regular basis.
CCTV in operation on some town council owned sites.
Maintain liaison with law enforcement agencies.

Financial

Failure to collect income

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

- 161 To minimize risk of loss.
- Maintain records of all rents, etc. due from land holdings.
Ensure that conditions of contracts are adhered to.
All income due to the Council and received is properly recorded.
Follow defined procedure for reminders in respect of unpaid accounts
Take appropriate recovery action where necessary.
Write off irrecoverable sums to be subject to Council approval.
Arrange appropriate internal/external audit testing.

Financial

Failure to review rents and other charges

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

160 To ensure that all rents and charges are subject to review. All rents and charges are subject to review as part of the budgetary process.

Financial

Inadequate budget provision

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

159 To ensure proper budget provision. Ensure that all anticipated income/costs are provided for in Budgetary process.

Physical

Maintenance of fences, hedges, gates, footpaths etc.

Annually

Medium

Low

2

Responsibility: Head of Grounds & Environmental Service

152 To ensure proper maintenance of council owned assets. Develop a planned maintenance programme for all fences, hedges, gates, footpaths etc on land owned by LLTC

Physical

Maintenance of furniture

Monthly

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

154 To ensure proper maintenance of council assets. Determine responsibility for maintenance and ensure that a planned programme is in place.
Arrange regular inspection of seats etc. Arrange for prompt repairs to damage.
Ensure that any service contracts are place.
All public furniture owned by the Council is listed on the Assets Register.

Physical

Public/Personal Injury

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

151 To minimize risk of injury.

- Ensure that all staff have appropriate training and adhere to approved working practices.
- Ensure that the correct, properly maintained tools/equipment are available as appropriate.
- Ensure that all appropriate disclaimer notices, warning signs etc. are in place.
- Ensure that any risks to the public are minimised and eliminated wherever possible.
- Maintain records of training.
- Maintain records of any injuries.
- Define responsibility in job descriptions etc.
- Ensure that the Council holds adequate insurance cover.

Physical

Security of equipment

Annually

Low

Medium

2

Responsibility: Head of Grounds & Environmental Service

153 To ensure that proper security arrangements are in place.

- Define policy for security of premises and equipment.
- Determine responsibility for security/control of equipment.
- Ensure effective security arrangements in place.
- Maintain asset register.

Physical

Unauthorised access/trespass

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

155 To maintain security of council assets.

Determine Council policy for access to land.
Appropriate signage is in place.
Council liaises with local police as and when required.

Completed by:

Date:

Position:

No of Risks **15**

No of risks scored: **15**

No of Action Plans: **1**

Your Duty = Power to provide receptacles. Power to take enforcement action against those that litter.

Assessment year: 2022

Act = Litter Act 1983, s 5 and 6 and Cleaner Neighbourhoods and Environment Act 2005

Scoring note:
 Low = 1
 Medium = 2
 and High = 3

Risk / ID Hazard / Requirement	Control	Review timing & Responsibility	Likelihood of occurrence	Impact on Council	Your action Score required
Administration/Legal					
	Inefficient service provision	Monthly	Low	Low	1
<i>Responsibility: Head of Grounds & Environmental Service</i>					
7	To employ trained/experienced personnel.				
	Ensure that staff have appropriate training. Ensure that staff are aware of all health & safety issues All staff have a written contract of employment. Protective clothing and personal safety equipment issued to all operational staff.				
<hr/>					
Environmental					
	Inappropriate location of litter bins	As and when	Low	Low	1
<i>Responsibility: Head of Grounds & Environmental Service</i>					
3	To determine location for best use.				
	Carry out periodical review.				

Environmental

Unauthorised Fly posting/nuisance.

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

5

Define policy on fly posting
Maintain liaison with enforcement agencies.

Environmental

Vandalism/theft/damage

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

4

To minimise the risk of loss/damage/injury arising from vandalism. Monitor all areas on a regular basis.

Financial

Inadequate budget provision

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

339 To ensure adequate funding.

Ensure that service requirements are included in budgetary process.

Financial

Inadequate insurance cover

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

6 To ensure that the council has proper insurance protection.

Ensure that Council has determined policy for insurance cover and that appropriate cover is in place.
Arrange periodical review.

Physical

Failure to empty

Monthly

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

2

To maintain high standard of service provision.

Define responsibility for clearing bins.
Implement effective programme.

Completed by:

Date:

Position:

No of Risks 7

No of risks scored: 7

No of Action Plans: 0

Your Duty = N/a - Local group to cover any risks not listed in other groups

Assessment year: 2022

Act = Not applicable

Scoring note:
 Low = 1
 Medium = 2
 and High = 3

Risk / ID	Hazard / Requirement	Control	Review timing & Responsibility	Likelihood of occurrence	Impact on Council	Your action Score required
Administration/Legal Community Grants						
1133	To ensure risks are minimised.	Ensure approved procedures are in place for community grant applications Ensure applicants submit financial information and a copy of constitution/rules alongside their application. Ensure applicants report back to the Council on expenditure of any grant funding. Protocol now adopted for ad hoc grants/use of town council assets. New protocol agreed 2021 for ad hoc funding or use of council assets. Ensure grant funding does not exceed S.137 limits unless another Power is being exercised in awarding grant funding. Ensure protocols in place for any funding outside Council's grant schemes.	Annually	Low	Low	1
Responsibility: Head of Democratic & Central Services						

Completed by:

Date:

Position:

No of Risks 1

No of risks scored: 1

No of Action Plans: 0

Your Duty = Power to establish or acquire by agreement markets within their area and provide a market place and market buildings.

Assessment year: 2022

Act = Food Act 1984, s50

Scoring note:
Low = 1
Medium = 2
and High = 3

<i>Review timing & Responsibility</i>	<i>Likelihood of occurrence</i>	<i>Impact on Council</i>	<i>Your action Score required</i>
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<i>Risk / ID</i>	<i>Hazard / Requirement</i>	<i>Control</i>	<i>Review timing & Responsibility</i>	<i>Likelihood of occurrence</i>	<i>Impact on Council</i>	<i>Your action Score required</i>
Administration/Legal						
Agreements with stall holders.						
146	To ensure proper administration of market.	Ensure that a signed agreement is in place as a pre-requisite to market stall occupation. Make appropriate arrangements in respect of casual users. Maintain a register of stall holders.	Annually	Low	Low	1
<i>Responsibility: Head of Cultural & Economic Services</i>						

Administration/Legal						
Contracts with Service providers ie Waste disposal						
1	To ensure that proper arrangements are in place.	Ensure that all signed contracts are in place. Check that insurance requirements etc are being met. Enforce conditions of contract.	Annually	Low	Low	1
<i>Responsibility: Head of Cultural & Economic Services</i>						

Administration/Legal

Inadequate insurance cover

Annually

Low

Low

1

Responsibility: Finance officer

147

To ensure that appropriate insurance cover is in place

Define Council policy for insurance.

Ensure that appropriate cover is held for all statutory and other risks.

Arrange periodical review.

Environmental

Inadequate cleaning of market premises.

Monthly

Low

Low

1

Responsibility: Head of Cultural & Economic Services

141

To maintain high standard of service provision.

Determine responsibility for cleanliness/hygiene of premises.

Environmental

Pandemic

Annually

High

Medium

6

Yes

*Responsibility: Head of Cultural & Economic Services**Action by: Head of Cultural & Economic Services**Action by date: 31/03/2023**Action plan: To monitor ongoing compliance with risk assessment and keep under review as Government guidance changes.**Comments: Council approved risk assessment in place.*

1159 To ensure compliance with health and safety requirements related to Covid-19

Council approved risk assessment in place.
Revised layout and number of stalls to allow for social distancing.
Use of staff/volunteer stewards to assist with distancing.**Environmental**

Rubbish accumulation

Weekly

Low

Low

1

Responsibility: Head of Cultural & Economic Services

142 To maintain high standard of cleanliness and minimize risk.

Define responsibility for cleanliness/hygiene of premises.

Environmental

Vandalism

Annually

Low

Low

1

Responsibility: Head of Cultural & Economic Services

- 143 To minimise the risk of loss/damage/injury arising from vandalism.
To minimise the impact on service provision.
- Maintain liaison with local enforcement agencies.
Take action as appropriate against offenders.

Financial

Failure to collect income

Annually

Low

Medium

2

Responsibility: Finance officer

- 145 To maximize income and minimize the risk of loss.
- Determine responsibility for collection of income.
Ensure that all income due to the Council and received is properly recorded.
Issue receipts for all income received.
Arrange for prompt reconciliation of all receipts.
Ensure procedures are in place for issue of reminders for unpaid accounts. Follow defined procedure for reminders.
Take appropriate recovery action where necessary.
Arrange appropriate internal audit testing.
Council approval required for write-off on any bad debts.

Financial

Failure to review charges

Annually

Low

Low

1

Responsibility: Head of Cultural & Economic Services

144 To ensure that all pitch charges are reviewed annually.

Ensure that all pitch charges are reviewed as an integral part of the budgetary process.

Charges for bank payments following move away from cashless payments will need monitoring during first year to ensure adequate budget provision.

Financial

Inadequate budget provision

Annually

Low

Low

1

Responsibility: Head of Cultural & Economic Services

340 To ensure proper financial provision.

Ensure that service income/expenditure is detailed in budgetary process.

Financial

Pandemic

Annually

High

Medium

6

Yes

1160 To plan for possible income loss resulting from Covid-19 pandemic

Impact on income factored in to emerging 2021-22 budget and will be kept under regular review, reported to quarterly Market Sub Committee

Responsibility: Head of Cultural & Economic Services

Action by: Head of Cultural & Economic Services

Action by date: 31/03/2023

Action plan: Emerging 2021-22 budget adjusted accordingly

To be reported to committee quarterly

Physical

Encroachment by stallholders

Monthly

Low

Low

1

139 To control market stall size.

Covered by Market Rules & Regulations

Responsibility: Head of Cultural & Economic Services

Physical

Maintenance of equipment

Monthly

Medium

Medium

4

Yes

136

To ensure proper maintenance of equipment and minimize loss/damage/injury.

Define responsibility for equipment maintenance and ensure any necessary training is complete.

Ensure that where appropriate proper maintenance contracts are in place.

Ensure that all equipment is properly maintained through regular inspection/servicing.

Responsibility: Head of Cultural & Economic Services

Action by: Head of Cultural & Economic Services

Action by date: 31/03/2023

Action plan: Subject to budget approval, a programme of replacement for gazebo legs, footplates, canopies, side sheets and name strips to be undertaken.

Comments: Market gazebos and tables are 4 years old and despite regular maintenance, are deteriorating and need replacement.

Physical

Occupation of Unauthorised stalls

Daily

Low

Low

1

138

To control market stall occupation and maximise income.

Maintain register of approved stall holders.

Carry out regular inspection of market sites.

Instigate action against offenders.

Responsibility: Head of Cultural & Economic Services

Physical

Public/Personal Injury

Daily

Low

Low

1

Responsibility: Head of Cultural & Economic Services

137 To minimize the risk of injury.

Define responsibility for all areas of the market operation.
Risk assessments for LLTC responsibilities are in place to minimise the risk of injury to all market users.
Arrange regular site inspection to ensure that defined standards are being maintained.
Take action where necessary against offenders.

Physical

Security of equipment

Annually

Low

Low

1

Responsibility: Head of Cultural & Economic Services

135 To minimize the risk of loss through theft/misappropriation.

Define responsibility for security/control of equipment.
Maintain asset register.

Physical

Security of market

Annually

Low

Low

1

Responsibility: Head of Cultural & Economic Services

133 To maintain security of council premises.

Responsibility for security defined.
Staff employed or contract with service provider in place.
Identify appropriate staff training.
Record of incidents maintained.
Liaison with local enforcement agencies maintained.

Completed by:

Date:

Position:

No of Risks 17

*No of risks 17
scored:*

*No of Action
Plans: 3*

Your Duty = Duty to meet

Assessment year: 2022

Act = s 12 Local Government Act 1972

Scoring note:
Low = 1
Medium = 2
and High = 3

Risk / ID	Hazard / Requirement	Control	Review timing & Responsibility	Likelihood of occurrence	Impact on Council	Your action Score required
Administration/Legal						
Disability & Discrimination Act						
360	Ensure that provisions of the act are met.	Accessible Meetings policy adopted 2021; lead officers to ensure meetings comply with policy. Accessibility audit of town council facilities undertaken late 2021	Annually	Low	Low	1
Responsibility: Officers						
Administration/Legal						
Failure to comply with new Regulations /Legislation						
33	All Meetings open to everyone.	Clerk to keep up to date with changing regulations/legislation and undertake training as required. Council to ensure membership of appropriate local/national associations is maintained. Council to continue to subscribe to appropriate publications. Encourage staff networking. Clerk to undertake CPD and training as and when required/appropriate. Poster is displayed regarding the recording of meetings.	Monthly	Low	Low	1
Responsibility: Clerk						

Administration/Legal

Failure to meet statutory duty on meetings

Monthly

Low

Low

1

Responsibility: Head of Democratic & Central Services

31 All Meetings open to everyone.

All notices are posted in the prescribed places 3 clear days prior to any meeting.

All Councillors are notified of Meetings by way of a summons and agenda.

Minutes of all meetings are taken and kept.

Attendance records are maintained.

All meetings comply with LGA 1972. Town Clerk & Assistant Clerk are CiLCA qualified.

Administration/Legal

Failure to report Council business in Minutes

Quarterly

Low

Low

1

Responsibility: Head of Democratic & Central Services

32 Proper recording of council minutes.

Ensure proper, timely and accurate recording of Council business in the minutes.

Minutes drafted within 7 days of meeting taking place.

All minutes comply with the requirements of LGA 1972.

Resolutions clearly identifiable. Accurate minute numbering, signed and paginated.

Maintain security of master copy.

Administration/Legal

Failure to respond to the elector's wish to exercise its rights

Annually

Low

Low

1

Responsibility: Head of Democratic & Central Services

34

All Meetings open to everyone.

Ensure members and staff are aware of Electors' Rights.
Ensure that time is set aside prior to the start of each meeting for members questions and comments.
Follow procedures for dealing with enquiries.
Increase awareness of accessibility of the Council to the public.

Completed by:

Date:

Position:

No of Risks 5

No of risks scored: 5

No of Action Plans: 0

Your Duty = Power to provide information relating to matters affecting local government

Assessment year: 2022

Act = Local Government Act 1972, s 142

Scoring note:
 Low = 1
 Medium = 2
 and High = 3

<i>Risk /</i> ID	<i>Hazard / Requirement</i>	<i>Control</i>	<i>Review timing & Responsibility</i>	<i>Likelihood of occurrence</i>	<i>Impact on Council</i>	<i>Your action Score required</i>
Administration/Legal						
	Defamation		As and when	Low	Low	1
			<i>Responsibility: Head of Cultural & Economic Services</i>			
130	To minimize associated risk.	All input is subject to careful check by Officers before going to print. Ensure that adequate insurance cover is held.				
<hr/>						
Administration/Legal						
	Failure to meet statutory obligation re non - political content		As and when	Low	Low	1
			<i>Responsibility: Head of Cultural & Economic Services</i>			
131	To meet required standard.	Ensure that content of newsletter is carefully tested to ensure that statutory requirement is met.				
<hr/>						

Financial

Failure to collect income from advertising etc

Annually

Low

Low

1

Responsibility: Finance officer

129 To maximise income and minimize loss.

Determine responsibility for collection of income.
 Ensure that all income due to the Council and received is properly recorded.
 Ensure receipts are issued for all income received.
 Arrange for prompt banking of all income.
 Ensure procedures are in place for issue of reminders for unpaid income.
 Follow defined procedure for reminders.
 Ensure Council approval for write-off on any bad debts.
 Ensure audit testing procedures are in place.

Financial

Inadequate budget provision

Annually

Low

Low

1

Responsibility: Head of Cultural & Economic Services

341 To ensure review of service requirement.

Ensure that service requirements are included in budgetary process.

Physical

Non production of newsletter

Quarterly

Low

Low

1

Responsibility: Head of Cultural & Economic Services

127

Ensure all publication deadlines are met.
Review arrangements periodically.

Completed by:

Date:

Position:

No of Risks **5**

No of risks scored: **5**

No of Action Plans: **0**

Your Duty = Power to acquire land and maintain

Assessment year: 2022

Act = Public Health Act 1875, s 164 and Open Spaces Act 1906 s 9 and 10

Scoring note:
Low = 1
Medium = 2
and High = 3

Risk / ID Hazard / Requirement	Control	Review timing & Responsibility	Likelihood of occurrence	Impact on Council	Your action Score required
Administration/Legal					
Absence of agreements with users, permits etc					
126	To facilitate control of facilities.	Annually	Low	Low	1
		<i>Responsibility: Head of Grounds & Environmental Service</i>			
Ensure that signed contracts/agreements/permits are in place where necessary. Maintain a register of users.					
<hr/>					
Administration/Legal					
Lack of clarity regarding management of open spaces on land east of the town.					
1154	Forward planning required to ensure adequate resources to manage additional land.	Annually	High	High	9 Yes
		<i>Responsibility:</i>			
		<i>Action by: Councillors</i>			
		<i>Action by date: 31/03/2023</i>			
		<i>Action plan: To be progressed by Council through ongoing discussion with the local authority. Recommendations regarding boundary review awaited in</i>			
		<i>Comments: Clarity required from local authority to ensure adequate forward planning of resources to manage additional open spaces.</i>			

Environmental

Fly tipping

Weekly

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

- 121 To minimise the impact of fly tipping and associated health/safety risk.
- Carry out regular site inspections.
Arrange for safe disposal facility.
Ensure any hazardous substances are properly dealt with.
Maintain liaison with local enforcement agencies.
Enforce regulations/bye-laws as appropriate.

Environmental

Pollution

As and when

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

- 122 To minimize risk/complaint arising from pollution at council owned facilities.
- Carry out regular site inspections.
Record all complaints received and ensure that they are investigated and prompt action taken where appropriate.
Liaise with local enforcement agencies.

Environmental

Vandalism

Monthly

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

- 368 To minimise the risk of loss/damage/injury arising from vandalism. Take reasonable action to maintain security of sites.
Arrange for regular site visits.
- To minimise risk arising from anti-social behaviour. Maintain liaison with local enforcement agencies.

Financial

Failure to collect all income due to the council

Monthly

Low

Low

1

Responsibility: Finance officer

- 124 To maximise income and minimize risk of loss. Ensure that all income due to the Council and received is properly recorded.
Arrange prompt banking of all income.
Follow defined procedure for reminders in respect of unpaid accounts.
Take appropriate recovery action where necessary.
Maintain appropriate internal control and internal audit testing.

Financial

Failure to review charges

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

123 To ensure that all charges are reviewed annually.

Ensure that all charges are reviewed as an integral part of the budgetary process.

Financial

Inadequate budget provision

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

125 To ensure proper financial provision.

Ensure that service income/expenditure is detailed in budgetary process.

Physical

Failure to provide adequate staff training in the use of dangerous machinery and the handling of dangerous substances.

Quarterly

Low

Medium

2

Responsibility: Head of Grounds & Environmental Service

779 To ensure that all staff have appropriate training.

Ensure that all staff receive appropriate training where necessary.
Ensure that any necessary licences, certificates for use have been obtained.
Maintain records of training provided.

Physical

Injury to public or employees as a result of defective vehicles or machinery.

Quarterly

Low

Medium

2

Responsibility: Head of Grounds & Environmental Service

780 To ensure that any conditions that might lead to personal injury are minimised and properly controlled.

Ensure that all staff have appropriate training and adhere to approved working practices.
Ensure that the correct, properly maintained equipment is available as appropriate.
Ensure that any risks to the public are minimised and eliminated wherever possible.

Physical

Personal injury.

Annually

Low

Medium

2

Responsibility: Head of Grounds & Environmental Service

- 117 To minimize the risk of personal injury to persons using council facilities.
- Ensure that appropriate regulations/controls are in place to minimise the risk of injury to all facility users.
 Arrange regular site inspection to ensure that defined standards are being maintained.
 Ensure that, where necessary, appropriate signage is in place and detailed records maintained.
 Ensure that appropriate insurance cover is in place.

Physical

Property Maintenance

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

- 118 Top ensure that all council assets are properly maintained.
- Ensure that appropriate regulations/controls are in place to minimise the risk of injury to all facility users.
 Arrange regular site inspection to ensure that defined standards are being maintained.
 Ensure that, where necessary, appropriate signage is in place.
 Maintain detailed records.
 Ensure appropriate insurance cover is in place.

Physical

Protection of manual workers from health risks associated with the land.

Quarterly

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

781 To minimise health and safety risks .

- Define standards required.
- Provide for any necessary training.
- Provide for appropriate protective clothing.
- Ensure any hazardous material is properly dealt with.
- Ensure that all workers have any necessary licences, certificates for use have been obtained.
- Ensure that all relevant regulations are adhered to and understood by all relevant parties.

Completed by:

Date:

Position:

No of Risks 13

No of risks scored: 13

No of Action Plans: 1

Your Duty = Power to provide

Assessment year: 2022

Act = s 19 Local Government(Misc Provisions) Act 1976

Scoring note:
Low = 1
Medium = 2
and High = 3

<i>Risk /</i> <i>ID Hazard / Requirement</i>	<i>Control</i>	<i>Review timing & Responsibility</i>	<i>Likelihood of occurrence</i>	<i>Impact on Council</i>	<i>Your action Score required</i>
Administration/Legal					
	Inadequate maintenance of records	Annually	Low	Low	1
<i>Responsibility: Head of Grounds & Environmental Service</i>					
324	To maintain a register of complaints/injuries and action taken.				
	To ensure that proper records of all complaints/injuries are maintained.				

Administration/Legal					
	Lack of prior involvement in new play areas	Annually	High	Low	3
<i>Responsibility: Head of Grounds & Environmental Service</i>					
1155	Continue to press local authority for involvement in the development of new play areas to south/east of town which may eventually come under town council management.				

Environmental

Pandemic

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

1156 Need to ensure compliance with Covid-19 safety guidelines. No restrictions since mid 2021.

Comments: Information on reduced capacities and safety guidelines available at all play areas, on website and on social media.

Financial

Inadequate budget provision

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

344 To ensure proper financial provision. Ensure that service requirements are detailed in annual budget process.

Financial

Inadequate insurance cover

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

323 To ensure that council has adequate insurance. To include all relevant risks on the Council's insurance policy.

Physical

Personal Injury

Daily

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

322 To ensure play surfaces & equipment are in a safe condition.

Define responsibility for regular inspection of play areas.
 Define responsibility for and ensure regular inspection of play equipment & play surfaces.
 Arrange periodical inspection and report by suitably qualified professional.
 All high risks identified in the RoSPA report are actioned.
 Inspection timetable is adhered to for all levels of inspection.
 Records kept of all inspections/maintenance.
 Ensure that a maintenance contract is in place.

Completed by:**Date:****Position:**No of Risks **6**No of risks scored: **6**No of Action Plans: **0**

Your Duty = Power to provide

Assessment year: 2022

Act = s 133 Local Government Act 1972

Scoring note:
Low = 1
Medium = 2
and High = 3

Risk / ID	Hazard / Requirement	Control	Review timing & Responsibility	Likelihood of occurrence	Impact on Council	Your action Score required
Administration/Legal						
	Disruption to business continuity in the event of flood, fire or other hazard		Annually	Low	High	3
Responsibility: Head of Democratic & Central Services						
1142	Ensure measures are in place to protect business continuity	Ensure a business continuity plan exists and is updated annually, for all town council sites of operation				

Financial						
	Inadequate budget provision		Annually	Low	Low	1
Responsibility: Clerk						
345	To ensure proper financial provision.	Ensure requirements included in annual budget process.				

Physical

Fire

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

353 To safeguard against fire risk.

Staff receive health and safety training.
Strict security/control of combustible materials held by Council.
Fire extinguishers are tested/checked on a regular basis.
Fire alarm tested weekly.
Fire drills held on a regular basis
Ensure appropriate regulations/controls in hire documentation.

Physical

Legionella bacteria in water supply

As and when

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

399 Risk Assessment required every two years, if applicable

Only toilet, handwashing facilities and kitchen sinks are provided in buildings belonging to the Council. Greater risk from Legionella bacteria could occur in showering facilities.

Physical

Poor Office Conditions

Quarterly

Low

Low

1

Responsibility: Officers

24

All office accommodation to be of a good standard

Periodical inspection of office conducted by Clerk.
Report any adverse conditions to Council/committee/landlord as appropriate
Arrange repair/maintenance etc. in accordance with tenancy agreement.

Physical

Poor/Faulty Office Furniture

Monthly

Low

Low

1

Responsibility: Officers

25

To maintain approved standards for office furniture and fittings.

Arrange periodical inspection of office furniture and fittings.
Where appropriate submit report to Council/committee for approval to repair/replace.

Technical

Defective Electrical Equipment/Machinery

Monthly

Low

Low

1

Responsibility: Officers

26

Ensure maintenance agreement/contract in place where appropriate.
Allocate responsibility for local repair/maintenance.
Restrict access to qualified personnel only.
Arrange regular inspection to ensure that any statutory obligations are met.
Maintain appropriate records.

Completed by:

Date:

Position:

No of Risks 7

No of risks scored: 7

No of Action Plans: 0

Your Duty = Power to provide 'free resource'

Assessment year: 2022

Act = s 137 Local Government Act 1972

Scoring note:
Low = 1
Medium = 2
and High = 3

Risk / ID	Hazard / Requirement	Control	Review timing & Responsibility	Likelihood of occurrence	Impact on Council	Your action Score required
Financial						
	Inadequate budget provision		Annually	Low	Low	1
			Responsibility: Head of Democratic & Central Services			
346	To ensure proper financial provision	Ensure service requirement is included in annual budgetary process.				

Technical						
	Failure of Website/Internet Providers		Weekly	Low	Medium	2
			Responsibility: Head of Democratic & Central Services			
28	To maintain high standard of service provision.	Ensure a backup copy of data is maintained. Liaise with provider to ensure early reinstatement of service.				

Completed by:

Date:

Position:

No of Risks 2

No of risks scored: 2

No of Action Plans: 0

Your Duty = Power to provide buildings for offices and for public meetings and assemblies

Assessment year: 2022

Act = Local Government Act 1972 s 133

Scoring note:
 Low = 1
 Medium = 2
 and High = 3

<i>Risk /</i> ID	<i>Hazard</i> / Requirement	Control	<i>Review</i> timing & <i>Responsibility</i>	<i>Likelihood</i> of <i>occurrence</i>	<i>Impact</i> on <i>Council</i>	<i>Your</i> action <i>Score required</i>
Administration/Legal						
112	Absence of service level agreements	Ensure that all service level agreements are fully completed and operational. Monitor performance to ensure conditions met. Review conditions periodically.	Annually	Low	Low	1
<i>Responsibility: Head of Grounds & Environmental Service</i>						
Administration/Legal						
114	To provide effective control of facility bookings etc.	Determine responsibility for dealing with user applications. Completed agreement to be a pre-requisite of facility hire. Arrange periodical review of conditions of use etc.	Annually	Low	Low	1
<i>Responsibility: Head of Grounds & Environmental Service</i>						

Administration/Legal

Failure to obtain necessary licences.

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

- 113 To meet all statutory requirements for service provision.
Determine responsibility for obtaining licences.
Maintain adequate records of licence application, renewal etc.
Ensure premises licence is in place (where applicable).

Administration/Legal

Maintenance of diary of events etc

Quarterly

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

- 115 To provide effective control of facility bookings etc.
Determine responsibility for maintenance of events diary.
Ensure that a completed booking/application lettings agreement is a prerequisite to facility hire.

Environmental

Noise etc pollution

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

- 108 To minimize risk/complaint arising from noise pollution at council owned facilities. Where appropriate set conditions in hire documentation. Maintain record of any complaints received and instigate prompt action where appropriate.

Environmental

Pandemic

As and when

High

Medium

6

Yes

Responsibility: Head of Grounds & Environmental Service

Action by: Head of Grounds & Environmental Services

Action by date: 31/03/2023

Action plan: Council approved risk assessment in place.
 Revised terms and conditions for venue hirers.
 To be monitored regularly.

- 1163 To ensure all Government guidelines relating to Covid-19 are being met. Council approved risk assessment in place. Reduced capacities to allow for social distancing. Only permitted activities to take place. Specific Covid-19 guidance provided to hirers. Reviewed whenever new Government guidance is published.

Financial

Failure to collect income.

Annually

Low

Low

1

Responsibility: Finance officer

111 To collect and bank all income due.

Ensure that all income due to the Council and received is properly recorded.
 Arrange for prompt banking of all income.
 Ensure procedures are in place for issue of reminders for unpaid accounts.
 Follow defined procedure for reminders.
 Ensure Council approval for write-off on any bad debts.
 Ensure audit testing procedures are in place.

Financial

Failure to set/review charges.

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

110 To ensure annual review of all charges.

Ensure that all charges are reviewed annually as an integral part of the budget
 ary process.

Financial

Inadequate budget provision

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

109 To provide for budgetary review of service.

Ensure that service/facility requirements are detailed in Budget process and reviewed annually.

Financial

Pandemic

As and when

High

Medium

6

Yes

Responsibility: Head of Grounds & Environmental Service

Action by: Head of Grounds & Environmental Services

Action by date: 31/03/2023

Action plan: Anticipated income increased in emerging 2022-23 budget but not yet certain to return to pre pandemic levels.

1164 To forward plan for potential income loss through being unable to hire facilities due to Covid-19 restrictions

Emerging 2021-22 budget includes anticipated loss of income through reduced venue hire

Physical

Fire

Quarterly

Low

High

3

Responsibility: Head of Grounds & Environmental Service

103 To safeguard against fire risk.

- Ensure Health/Safety testing complete.
- Ensure appropriate staff training.
- Ensure a strict 'no smoking' and 'no naked flame' policy operates throughout all Council buildings.
- Provide for strict control of combustible materials held by the Council.
- Provide appropriate extinguishers etc.
- Fire alarm and emergency lighting system in place.
- Ensure appropriate signage in place.
- Ensure that a Fire risk assessment is reviewed annually.
- Ensure that the appropriate regulations/controls included in hire documentation

Physical

Hazardous substances

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

106 To minimise risk associated with the use of hazardous substances.

- Define responsibility for use and control.
- Provide for any necessary training.
- Provide for appropriate protective clothing.
- Ensure that any necessary licences, certificates for use have been obtained.
- Ensure that security is sound.
- Ensure that COSHH regulations adhered to regarding the storage of cleaning substances.

Physical

Maintenance of buildings

Annually

Medium

Medium

4

Yes

Responsibility: Head of Grounds & Environmental Service

Action by: Head of Grounds & Environmental Services

Action by date: 31/03/2023

Action plan: 10 year maintenance plan under development.

Information to be brought to Grounds Committee

102 To ensure proper maintenance of premises and minimize risk of loss/damage/injury.

Define responsibility for maintenance.
 Carry out regular inspections of all buildings.
 Ensure that where appropriate proper contractual arrangements are in place.
 Arrange staff training where required.
 Detailed records kept of all work scheduled/completed.
 That each building is individual risk assessed.
 That the risk assessments are reviewed annually.

Physical

Security of premises and contents

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

101 To safeguard council assets.

Define policy for security of premises and equipment.
 Maintain asset register.
 Allocate responsibility for security/control of equipment.
 All offices/premises are locked outside working hours.
 Letting agreements are in place with a condition to secure building on departure.

Physical

Stock control

Monthly

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

107 To minimize the risk of loss.

- Define responsibility for stock.
- Arrange for regular stock checks.
- Reconcile stock to sales/usage.
- Investigate significant differences.
- Maintain comprehensive stock records.

Physical

Theft

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

104 To minimize the risk of loss through theft/misappropriation.

- Determine responsibility for security.
- Ensure that security of all plant, equipment and premises is recognised as a priority.
- Provide for required staff training
- Maintain register of assets.
- Maintain liaison with local enforcement agencies.

Physical

Vandalism.

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

105 To minimise the risk of loss/damage/injury arising from vandalism.

Maintain efficient and effective security.
Maintain liaison with local enforcement agencies.
Take action as appropriate against offenders.

Completed by:

Date:

Position:

No of Risks 17

No of risks scored: 17

No of Action Plans: 3

Your Duty = Power to provide

Assessment year: 2022

Act = Public Health Act 1936 s 87

Scoring note:
Low = 1
Medium = 2
and High = 3

Risk / ID	Hazard / Requirement	Control	Review timing & Responsibility	Likelihood of occurrence	Impact on Council	Your action Score required
Administration/Legal						
	Contracts with service providers		Annually	Low	Low	1
			Responsibility: Head of Cultural & Economic Services			
99	To ensure proper administrative arrangements.	Ensure that all contracts are signed and sealed. Monitor performance to ensure that contract conditions/obligations are met. Where appropriate examine contractor insurance documentation. Review conditions periodically.				
Administration/Legal						
	Disability & Discrimination Act		Annually	Low	Low	1
			Responsibility: Head of Cultural & Economic Services			
100	To meet statutory obligations for service provision.	Ensure that all conditions of the Act as they affect service provision are met. Allocate responsibility to ensure that standards/ongoing requirements are met. Carry out periodical review of service.				

Administration/Legal

Pandemic

Annually

High

Low

3

Responsibility: Head of Cultural & Economic Services

Action by: Head of Cultural & Economic Services

Action by date: 31/03/2023

Action plan: To be kept under regular review.

1158 Ensure health and safety legislation and guidance is complied with.

Capacity reduced to allow social distancing.
Council approved risk assessment in place and under regular review.

Comments: Council approved risk assessment in place

Administration/Legal

Tenancy agreement with principal authority

Annually

Low

Low

1

Responsibility: Head of Cultural & Economic Services

98

Monitor performance to ensure conditions met.
Principal authority has responsibility for Legionella testing.

Environmental

Pollution

Daily

Low

Low

1

Responsibility: Head of Cultural & Economic Services

93 To minimize risks arising from pollution.

Determine responsibility for control.

Ensure that all equipment is properly maintained and operating correctly.

Have arrangements in place as necessary to deal with any problems arising.

Environmental

Vandalism.

Annually

Low

Low

1

Responsibility: Head of Cultural & Economic Services

94 To minimise the risk of loss/damage/injury arising from vandalism.

Maintain liaison with local enforcement agencies.

Financial

Failure to achieve desired standard of cleaning/hygiene

Daily

Low

Low

1

Responsibility: Head of Cultural & Economic Services

95

To maintain high standard of hygiene/cleanliness.

Below points all covered within cleaning contract:
 Define responsibility for cleanliness/hygiene of premises.
 Provide appropriate staff training.
 Maintain a maintenance log/cleaning regime.
 Arrange for periodical checks.

Financial

Inadequate budget provision

Annually

Low

Low

1

Responsibility: Head of Cultural & Economic Services

97

To ensure proper financial provision.

Ensure that service requirements are included in annual budget process.

Physical

Hazardous substances control

Annually

Low

Low

1

Responsibility: Head of Cultural & Economic Services

91 To minimize associated risks.

Cleaning contractor has responsibility for use and control.

Physical

Maintenance

Annually

Low

Low

1

Responsibility: Head of Cultural & Economic Services

90 To ensure proper maintenance of premises and minimize risk of loss/damage/injury.

Define responsibility for maintenance.
Ensure contractual arrangements in place for renewal/repair
Carry out regular inspections of all premises.
Maintain detailed records of all work scheduled/completed.

Responsibility: Head of Cultural & Economic Services

89 To safeguard council property.

CCTV in place; responsibility of principal authority.
Maintain liaison with external agencies.

Completed by:

Date:

Position:

No of Risks 11

No of risks 11
scored:

No of Action
Plans: 0

Your Duty = Power to provide

Assessment year: 2022

Act = s 4 Local Government (Miscellaneous Provisions) Act 1953

Scoring note:
Low = 1
Medium = 2
and High = 3

Risk / ID	Hazard / Requirement	Control	Review timing & Responsibility	Likelihood of occurrence	Impact on Council	Your action Score required
Administration/Legal						
	Provision of inadequate standard of seating.		Annually	Low	Low	1
Responsibility: Head of Grounds & Environmental Service						
372	To minimise risk arising from provision.	Determine Council policy for acceptance/provision of seating. Including where appropriate guidance on nominated/preferred suppliers. All prospective donors are provided with a copy of the policy. Carry out inspection of all seating prior to acceptance. Arrange secure installation.				

Environmental						
	Vandalism		Annually	Low	Low	1
Responsibility: Head of Grounds & Environmental Service						
370	To minimise the risk of loss/damage/injury arising from vandalism. To minimise risk arising from anti-social behaviour.	Seek to mitigate risk as far as possible. Maintain liaison with enforcement agencies.				

Physical

Fire

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

351 To safeguard against fire risk.

Ensure appropriate regulations/controls adhered to.

Physical

Injury or damage arising from use.

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

362 To minimise risk arising from use.

Carry out regular inspection of public seating.
Have necessary arrangements in place for repair/renewal.
Ensure that appropriate insurance cover is held.

Technical

Inadequate maintenance of shelters & seats

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

371 To minimise risk arising.

- Arrange regular inspection and cleaning.
- Arrange repairs and maintenance as per programme or as required.
- Have arrangement in place to remove/replace dangerous equipment.
- Maintain records of repair and maintenance.
- Maintain and update the Assets Register.

Completed by:

Date:

Position:

No of Risks 5

No of risks scored: 5

No of Action Plans: 0

Your Duty = Power to provide

Assessment year: 2022

Act = s 19 Local Government (Misc Provisions) Act 1976

Scoring note:
Low = 1
Medium = 2
and High = 3

Risk / ID	Hazard / Requirement	Control	Review timing & Responsibility	Likelihood of occurrence	Impact on Council	Your action Score required
Administration/Legal						
	Equipment nearing end of life expectancy.		Annually	High	High	9 Yes
			Responsibility: Head of Grounds & Environmental Service			
			Action by: Head of Grounds & Environmental Services			
			Action by date: 31/03/2023			
			Action plan: Council decision required in municipal year 2022-23 due to safety concerns in respect of age of the equipment. Funds allocated in 5 year financial plan for you			
			Comments: Equipment is nearing the end of its lifespan. Financial implications are significant and require forward planning.			
1157	Health and safety legislation must be complied with.	Equipment inspected regularly. Repairs undertaken where practicable. Current condition medium with limited life expectancy remaining. Skate park located on flood plain.				

Environmental						
	Inadequate Safety Signage		Monthly	Low	Low	1
			Responsibility: Head of Grounds & Environmental Service			
367	To minimise risk.	Ensure that a notice is displayed at the site providing minimum advice:- Any restrictions of use i.e. equipment and age. Persons use facility only at their own risk. Users must wear appropriate protective clothing. No glass, dogs or alcohol allowed on site. The maximum number of users at any one time.				

Environmental

Vandalism

Weekly

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

- 366 To minimise the risk of loss/damage/injury arising from vandalism.
To minimise risk arising from anti-social behaviour.
- Take reasonable action to maintain security of site.
Arrange for regular site visits.
Consider use of professional security service where necessary.
Maintain liaison with law enforcement agencies.

Financial

Legal Liability arising from asset ownership

Annually

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

- 363 To ensure control of risk.
- Ensure that Council's insurer is aware of facility provision.
Ensure adequate cover is provided in policy.
Carry out annual review.

Physical

General Health & Safety

Monthly

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

364 To minimise risks arising.

Determine responsibility for inspection of site.
 Provide for any necessary staff training.
 Provide for appropriate protective clothing.
 Carry out regular inspections on a predetermined basis to clear site of litter & fly tipping.
 Inspect site for, and deal with, any dangerous/foul matter i.e. glass, needles etc .
 Maintain detailed records of inspections which must be dated and signed.

Physical

Injury to users arising from accident.

Weekly

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

365 To minimise risk.

Determine responsibility for inspection of equipment.
 Consider biannual inspection by ROSPA. (as a condition of insurance).
 Carry out regular inspection of equipment, surfaces and signage.
 Place any damaged equipment out of use until repair or replacement carried out.
 Maintain records of all inspections, reported damage and repairs/work ordered and completed.
 Maintain an Accident Book specifically for the purpose of reported accidents.

Completed by:**Date:****Position:**

No of Risks 6

No of risks scored: 6

No of Action Plans: 1

Assessment year: 2022

Your Duty = Power to provide recreational facilities
 Power to do anything an individual may do provided it is not prohibited by other legislation.

Act = Local Government (Miscellaneous Provisions) Act 1976, s.19
 General Power of Competence 2012

Scoring note:
 Low = 1
 Medium = 2
 and High = 3

Review timing & Responsibility	Likelihood of occurrence	Impact on Council	Your action Score required
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Risk / ID	Hazard / Requirement	Control
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Monthly	Low	Medium	2
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Administration/Legal

Data protection of sensitive information regarding minors

Responsibility: Head of Cultural & Economic Services

1136	Ensure legislative requirements are met including Data Protection Act 2018	Staff to be fully aware of data protection requirements and council policies. Paper documentation to be securely locked away. Electronic documentation only accessible to authorised personnel. Data Protection Impact Assessment to be reviewed annually. Passwords to be changed periodically.
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Environmental

Pandemic

Annually	High	Medium	6	Yes
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Responsibility: Head of Cultural & Economic Services

Action by: Head of Cultural & Economic Services

Action by date: 31/03/2023

Action plan: Ongoing review while impact of pandemic continues. Some services can be adapted to online/tel if necessary.

1168	Need to ensure Government guidance is adhered to.	Council approved risk assessment in place. Limited delivery of services to mitigate risks.
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Comments: Council approved risk assessment in place. Services adapted to mitigate risks while continuing to support young people.

Physical

Health and safety risks

Monthly

Low

Low

1

Responsibility: Head of Cultural & Economic Services

1135 Ensure building is safe for public access

Risk assessments to be done regularly and any actions completed.
Periodic assessment by Council's health and safety provider.
Ensure adequate insurance is in place.
Ensure hirers of the building are made aware of their responsibilities and liabilities.

Professional

Professional appropriate qualifications for staff

Monthly

Low

Medium

2

Responsibility: Head of Cultural & Economic Services

1137 To ensure staff dealing with young people are appropriately qualified for youth work

Check youth work qualifications prior to employment.
Ensure staff training is reviewed annually through the appraisal process
Review regularly to ensure minimum standards are met to provide the service.
Ensure boundaries and guidelines are clear such that staff do not try to give advice outside their remit but make referrals to specialist organisations as appropriate.

Professional

Safeguarding of minors

Monthly

Low

Medium

2

Responsibility: Head of Cultural & Economic Services

1138 To ensure regulatory safeguarding requirements are met
Ensure safeguarding training is undertaken for all staff.
Ensure safeguarding procedures are reviewed periodically.

Completed by:

Date:

Position:

No of Risks 5

No of risks scored: 5

No of Action Plans: 1

Your Duty = Right to be notified of planning applications

Assessment year: 2022

Act = Town and Country Planning Act 1990 s 1 (p8)

Scoring note:
Low = 1
Medium = 2
and High = 3

ID	Risk / Hazard / Requirement	Control	Review timing & Responsibility	Likelihood of occurrence	Impact on Council	Your action Score required
Administration/Legal						
Failure to meet consultation deadlines.						
62	To meet consultation timetable.	Scheme of delegation in place. Planning applications are considered by Planning & Transport Committee every three weeks. Where necessary liaise with the Planning Authority for possible extension. Council responses to planning applications are submitted to the Planning Authority as soon as practicable after the meeting.	Annually	Low	Low	1
Responsibility: Head of Democratic & Central Services						
Administration/Legal						
Maintenance of register/records						
61		All planning applications are e-mailed/mailed directly to the Council, The planning applications form part of the Council minutes, so all decisions/comments are recorded within the Council minutes.	Annually	Low	Low	1
Responsibility: Head of Democratic & Central Services						

Physical

Security of records

Annually

Low

Low

1

Responsibility: Head of Democratic & Central Services

60 To safeguard planning records.

Planning records are held by the Planning Authority.
Details of recommendations made by the Council to the Planning Authority are held within the minutes.

Completed by:

Date:

Position:

No of Risks 3

No of risks scored: 3

No of Action Plans: 0

Your Duty = Power to erect (with Highway Authority approval)

Assessment year: 2022

Act = s 3 Parish Council Act 1970

Scoring note:
 Low = 1
 Medium = 2
 and High = 3

<i>Risk /</i> ID	<i>Hazard / Requirement</i>	<i>Control</i>	<i>Review timing & Responsibility</i>	<i>Likelihood of occurrence</i>	<i>Impact on Council</i>	<i>Your action Score required</i>
Administration/Legal						
	Failure to obtain necessary approval.		As and when	Low	Low	1
			<i>Responsibility: Officers</i>			
356		Determine responsibility for administration. Ensure that appropriate applications are submitted to Highway Authority.				
Financial						
	Inadequate budget provision		Annually	Low	Low	1
			<i>Responsibility: Officers</i>			
358	To ensure proper financial provision.	Ensure service requirement included in annual budget.				

Physical

Inadequate maintenance.

Quarterly

Low

Low

1

Responsibility: Officers

357

Determine responsibility for maintenance.
Arrange periodic inspection.
Arrange for repairs/maintenance as required.

Physical

Vandalism

Monthly

Low

Low

1

Responsibility: Officers

58

To minimise the risk of loss/damage/injury arising from vandalism.

Carry out regular inspection of signs.
Maintain liaison with local enforcement agencies.

Completed by:

Date:

Position:

No of Risks 4

No of risks scored: 4

No of Action Plans: 0

Your Duty = Power to maintain, repair, protect and alter war memorials

Assessment year: 2022

Act = War Memorials (Local Authorities' Powers) Act 1923,s 1, 133
as extended by Local Government Act 1948, s 133

Scoring note:
Low = 1
Medium = 2
and High = 3

Risk / ID	Hazard / Requirement	Control	Review timing & Responsibility	Likelihood of occurrence	Impact on Council	Your action Score required
Physical						
	Inadequate budget provision		Annually	Low	Low	1
<i>Responsibility: Head of Grounds & Environmental Service</i>						
313	To ensure annual service review.	Review service provision within annual budget process.				

Physical						
	Inadequate maintenance.		Annually	Low	Low	1
<i>Responsibility: Head of Grounds & Environmental Service</i>						
359	To maintain war memorial and the surrounding area to an acceptable standard	Carry out regular inspections of two War Memorials plus RAF Signals memorial. Any works identified to be undertaken by a professional contractor. Maintain detailed records of all work scheduled/completed. Annual maintenance carried out prior to Remembrance Sunday. Both war memorials have now been listed for protection.				

Physical

Vandalism

As and when

Low

Low

1

Responsibility: Head of Grounds & Environmental Service

57 To minimise the risk of loss/damage/injury arising from vandalism. Members of the public are encouraged to report any issues. Maintain liaison with local police. Ensure that the relevant insurance is held.

Completed by:

Date:

Position:

No of Risks 3

No of risks scored: 3

No of Action Plans: 0

Your Duty = Power for councils to have their own websites

Assessment year: 2022

Act = Local Government Act 1972, s 142

Scoring note:
Low = 1
Medium = 2
and High = 3

<i>Risk /</i> <i>ID</i>	<i>Hazard</i>	<i>/ Requirement</i>	<i>Control</i>	<i>Review timing & Responsibility</i>	<i>Likelihood of occurrence</i>	<i>Impact on Council</i>	<i>Your action Score required</i>
Administration/Legal							
	Availability of Software tools to build and manage site			As and when	Low	Low	1
	<i>Responsibility: Head of Democratic & Central Services</i>						
374	To ensure that the council has full control of website.		Ensure Council has licence for appropriate software for website maintenance				

Administration/Legal							
	Compromise of copyright by inclusion of website links or frames.			Annually	Low	Low	1
	<i>Responsibility: Head of Democratic & Central Services</i>						
386	To minimise risk.		If website contains links to other sites ensure permission of destination website is prerequisite.				

Administration/Legal

Confusion arising from links to external websites

Annually

Low

Low

1

Responsibility: Head of Democratic & Central Services

387 To minimise risk.

Ensure that adequate control is in place and that website makes clear Council privacy policy that it is not responsible for the privacy practices or the content of external websites.

Administration/Legal

Content

Low

Low

1

Responsibility: Head of Democratic & Central Services

321 To maintain effective administration.

Ensure that procedures are in place to create and approve website content, and to edit when required.

Administration/Legal

Dependence upon an individual

Annually

Low

Low

1

Responsibility: Head of Democratic & Central Services

376 To ensure that the site activity is not restricted to one person. Ensure that a minimum of two people have the necessary ability to undertake a ll website activity and are up to date with current site status. Provide training where necessary to minimise risk.

Administration/Legal

Insurance

Low

Low

1

Responsibility: Finance officer

373 To protect council. Ensure that appropriate insurance cover is held by Council.

Administration/Legal

Lack of motivation for continued management of website.

Quarterly

Low

Low

1

Responsibility: Head of Democratic & Central Services

383 To minimise risk.

Recognise risks associated with poor site maintenance and development - lack of users - lack of feed back - user dissatisfaction - waste of resources.

Ensure that proper recognition is given to site manager.

Arrange for regular review of site content and development.

Feedback provided to committee through communications update reports.

Administration/Legal

Lack of visibility of visitor numbers.

Annually

Low

Low

1

Responsibility: Head of Democratic & Central Services

385 To maintain adequate statistics.

The council receives monthly statistics on website visitors and downloads, which are reviewed by officers. Some statistics may also be reported to committee

Administration/Legal

Loss of Data/ Inability to access backup

Monthly

Low

Low

1

Responsibility: Head of Democratic & Central Services

377 To avoid risk arising from loss of data.

Back up of the websites is part of the support/hosting agreement with websites provider.

Administration/Legal

Non compliance with Freedom of Information Act

Annually

Low

Low

1

Responsibility: Head of Democratic & Central Services

381 To minimise risk.

Ensure that legal requirements are met in full.
Ensure that all information, as declared in the Council Publication Scheme, is available via the website.

Administration/Legal

Non conformance with the Data Protection Act

As and when

Low

Low

1

Responsibility: Head of Democratic & Central Services

382 To minimise risk.

Where posting information to website, or storing personal information, ensure that permission is in place and the eight principles of data protection have been followed. The data must be; fairly and lawfully processed; processed for limited purposes; adequate, relevant and not excessive; accurate; not kept longer than necessary; processed in accordance with the data subject's rights; secure; and not transferred to countries without adequate protection.

Administration/Legal

Ownership and Control of Universal Resource Locator (URL)

Annually

Low

High

3

Responsibility: Head of Democratic & Central Services

316 To ensure that council has full control of website.

Ensure that Clerk to the Council is listed as registrant of website when web address is purchased and registered.
Ensure that hosting charges and domain renewal charges are met by Council.
Ensure that Council has full details of web address, account name, username and password to manage the web address.

Administration/Legal

Risk arising from use of unlicensed software

Annually

Low

Low

1

Responsibility:

375 To ensure that the council is protected from charges of condoning use of unlicensed software.

The Council must ensure that only properly licensed software is used and must maintain records of all software used to build and manage the site. This is particularly important where the site is built or managed on behalf of the Council.

Administration/Legal

The placing of information on site that may put people at risk.

Annually

Low

Low

1

Responsibility: Head of Democratic & Central Services

388 To minimise risk.

Ensure that all involved are aware of the risks involved when publishing information regarding individuals or groups of individuals. Only designated personnel are able to upload information on website.

Technical

Failure to meet needs/expectations of visitors to site.

Annually

Low

Low

1

Responsibility: Head of Democratic & Central Services

380 To minimise risk.

Employ only suitably skilled persons to design develop site.
 Maintain a record of all views, comments, complaints received.
 Carry out a regular review of the website, with third parties where appropriate and initiate agreed changes/improvements where necessary.
 Maintain dialogue with site visitors where appropriate.

Technical

Inadequate control of website

Annually

Low

Medium

2

Responsibility: Head of Democratic & Central Services

378 To minimise risk arising from third party input.

Ensure in all circumstances where third party is involved in design and control of website that a written formal agreement is in place that details financial and other responsibilities between the Council and third party(s).
 Issue a set of written guidelines controlling site content.
 Ensure that a Service Level Agreement with the website provider is in place.

Technical

Lack of visibility of website to search engines

Quarterly

Low

Low

1

Responsibility: Head of Democratic & Central Services

384 To minimise risk and maximise visitor numbers.

Ensure that the site is registered with leading search engines (Rapid registration is available at a cost).

Update and change the front page of the site (and other pages) regularly to ensure that the search engine Spider/Google regularly visits the site and is updated as required.

Technical

Risk arising from poor design / appearance of website

As and when

Low

Low

1

Responsibility: Head of Democratic & Central Services

379 To minimise risk arising from poor design.

Ensure that design is undertaken by suitably qualified and experienced operators.

Get details of and view previously developed sites.

Set standards for site design and ensure that Council is provided with full details prior to implementation.

Completed by:**Date:****Position:**

No of Risks 18

No of risks scored: 18

No of Action Plans: 0